# 201

### **QUARTERLY STATEMENT**

OF THE

## STANDARD LIFE INSURANCE COMPANY OF NEW YORK



## The **Standard**®

OF WHITE PLAINS IN THE STATE OF NEW YORK

TO THE

#### **INSURANCE DEPARTMENT**

OF THE

STATE OF

FOR THE QUARTER ENDED SEPTEMBER 30, 2012

LIFE AND ACCIDENT AND HEALTH

2012



LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

#### **QUARTERLY STATEMENT**

AS OF SEPTEMBER 30, 2012 OF THE CONDITION AND AFFAIRS OF THE

## Standard Life Insurance Company of New York NAIC Group Code 1348 1348 NAIC Company Code 89009 Employer's ID Number 13-41194

1		1348 134		pany Code	89009 Employ	er's ID Number	13-4119477
Organized under the Laws		(Current) (Prio		, St	ate of Domicile or Por	t of Entry	New York
Country of Domicile			United	States of A	merica		
Incorporated/Organized		04/24/2000		_	Commenced Busines	SS	01/01/2001
Statutory Home Office	360 Ha	amilton Avenue, S	Suite 210			White Plains ,	NY 10601-1871
		(Street and Numb					ate and Zip Code)
Main Administrative Office			360 Hamil	ton Avenue	Suite 210		
	Milita Distance NIV	10001 1071	(Str	eet and Nur	nber)	044.00	0.4400
_	White Plains, NY (City or Town, State)						9-4400 ephone Number)
Mail Address	D	O Box 5031				White Plains	NY 10602-5031
		Number or P.O.	Box)				ate and Zip Code)
Primary Location of Books	and Pacards		360 Ham	ilton Avonu	e, Suite 210		
Filliary Location of Books	and Records			eet and Nur			
	White Plains, NY						1-7564
	(City or Town, State	and Zip Code)				(Area Code) (Te	ephone Number)
Internet Web Site Address			www	.standard-n	y.com		
Statutory Statement Contac	ct	Barry Jam	es Walton		,	9.	71-321-7564
	barry.walton@sta	`	ime)			,	) (Telephone Number) 1-7540
	(E-mail Add						lumber)
				0551055			
Chairman, President 8	2		,	OFFICER	5		
Chief Executive Office		John Gregory N	ess #		Chief Financial Office	er	Floyd Fitz-Hubert Chadee
Secretary	/	Allison Tonia Stur	mbo JD		Appointed Actuar	у	Sally Ann Manafi FSA
				OTHER			
Robert Michael E	rickson CMA Contro	oller					
			DIRECTO	RS OR T	RUSTEES		
	Jane Barbarino			ick William			Stanley Russel Fallis
	y John Kulesa Joseph Puentes	<del></del>		e Charles M n Salvatore			John Gregory Ness # Mary Frances Sammons
Esthe	er Kay Stepp						
State of County of	Oregon Multnomah		- ss:				
	Multioman		<u>—</u>				
all of the herein described statement, together with rel condition and affairs of the in accordance with the NAI rules or regulations requir respectively. Furthermore,	assets were the absated exhibits, schedusaid reporting entity of C Annual Statement e differences in repthe scope of this att	solute property of ules and explanat as of the reporting Instructions and porting not relate testation by the d	the said reporting ions therein contains therein contains geriod stated about the Accounting Practiculation accounting prescribed officers as	y entity, free ned, annexove, and of ces and Pro practices ar also includes	and clear from any ed or referred to, is a ts income and deduct ocedures manual excu d procedures, accor to the related correspondent	liens or claims the full and true statem tions therefrom for ept to the extent the ding to the best conding electronic fill	nd that on the reporting period stated above reon, except as herein stated, and that this ent of all the assets and liabilities and of the the period ended, and have been completed at: (1) state law may differ; or, (2) that state of their information, knowledge and beliefing with the NAIC, when required, that is are by various regulators in lieu of or in addition
John Grego Chairman, President & C	,	er –	•	Fitz-Hubert (		filing?	Allison Tonia Stumbo JD Secretary Yes [ X ] No [ ]
Subscribed and sworn to be bth day		November	2012		<ul><li>b. If no,</li><li>1. State the ame</li><li>2. Date filed</li></ul>	ndment number	

Linda R. Seger Notary Public 09/18/2014

#### **ASSETS**

	AS	SETS			
			Current Statement Date		4
		1	2	3 Net Admitted Assets	December 31 Prior Year Net
		Assets	Nonadmitted Assets	(Cols. 1 - 2)	Admitted Assets
1.	Bonds	109,952,733	0	109,952,733	105,806,413
2.	Stocks:				
	2.1 Preferred stocks	0	0	0	0
	2.2 Common stocks		0		0
3.	Mortgage loans on real estate:				
٥.	3.1 First liens	100 010 477	0	108,019,477	101 010 744
	3.2 Other than first liens			08,019,477	
		0		0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less \$0				
	encumbrances)	0	0	0	0
	4.2 Properties held for the production of income (less				
	\$0 encumbrances)	0	0	0	0
	4.3 Properties held for sale (less \$0				
	encumbrances)	0	0	0	0
5.	Cash (\$4,570,791 ), cash equivalents				
	(\$				
	investments (\$	A 570 701	0	4 570 701	0 088 105
6	Contract loans (including \$0 premium notes)				
				1,746	_
7.	Derivatives			0	0
8.	Other invested assets			0	0
9.	Receivables for securities			9,940	58,940
10.	Securities lending reinvested collateral assets			0	0
11.	Aggregate write-ins for invested assets			0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	222,554,687	0	222,554,687	216,865,148
13.	Title plants less \$				
	only)	0	0	0	0
14.	Investment income due and accrued	1,879,479	0	1,879,479	1,750,030
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	7.814.077	234.747	7,579,330	4.350.311
	15.2 Deferred premiums, agents' balances and installments booked but	, , ,	,	, , , , , , , , , , , , , , , , , , , ,	,,,,,
	deferred and not yet due (including \$0				
	earned but unbilled premiums)	51 860	0	51,869	28 161
	15.3 Accrued retrospective premiums			626,059	
40		049,000	23,791	020,009	402,439
16.	Reinsurance:	04 000		04 000	4 405 004
	16.1 Amounts recoverable from reinsurers				4,495,364
	16.2 Funds held by or deposited with reinsured companies			0	0
	16.3 Other amounts receivable under reinsurance contracts				931,378
	Amounts receivable relating to uninsured plans			24,673	12, 199
	Current federal and foreign income tax recoverable and interest thereon			664,947	486,540
18.2	Net deferred tax asset	4,090,496	2,725,809	1,364,687	1,655,202
19.	Guaranty funds receivable or on deposit	0	0	0	0
20.	Electronic data processing equipment and software	0	0	0	0
21.	Furniture and equipment, including health care delivery assets				
	(\$	164,349	164,349	0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates		0	0	0
23.	Receivables from parent, subsidiaries and affiliates		0	268,997	263 , 109
24.	Health care (\$			0	0
				0	
25.	Aggregate write-ins for other than invested assets	111,124	117,724	0	0
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	238,372,451	3,266,420	235, 106, 031	231,319,881
27	From Separate Accounts, Segregated Accounts and Protected Cell		3,233, 323		==:,=:=,==:
21.	Accounts	0	0	0	0
28.	Total (Lines 26 and 27)	238,372,451	3,266,420	235, 106, 031	231,319,881
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	_	0	0
2501.	Other assets	50,343	50,343	0	0
2502.	IMR	60,906	60,906	0	0
2503.	Prepaid expenses	6,475	6,475	0	0
2598.	Summary of remaining write-ins for Line 25 from overflow page	,	0	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	117.724	117,724	0	0

#### LIABILITIES, SURPLUS AND OTHER FUNDS

	-,	1 Current	2 December 31
1.	Aggregate reserve for life contracts \$	Statement Date	Prior Year
	(including \$		
	Aggregate reserve for accident and health contracts (including \$		
4.	Contract claims:		
	4.1 Life		
5.	4.2 Accident and health	∠,556,653	
6.	Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
	6.1 Dividends apportioned for payment (including \$	0	0
	6.2 Dividends not yet apportioned (including \$		
	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less	744 007	4 450 400
9.	\$	/41,29/	1, 159, 493
	9.1 Surrender values on canceled contracts	0	0
	9.2 Provision for experience rating refunds, including the liability of \$302,233 accident and health		
	experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act	320 318	1 562 311
	9.3 Other amounts payable on reinsurance, including \$0 assumed and \$110,253		
	ceded		
40		0	0
10.	Commissions to agents due or accrued-life and annuity contracts \$	1,177 683	1,406 537
11.	Commissions and expense allowances payable on reinsurance assumed	0	0
12.	General expenses due or accrued	7,045,508	6,931,415
13.	Transfers to Separate Accounts due or accrued (net) (including \$0 accrued for expense allowances recognized in reserves, net of reinsured allowances)		^
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes	698 827	361,991
	Current federal and foreign income taxes, including \$		
		0	0
16. 17.	Unearned investment income		
	Amounts held for agents' account, including \$0 agents' credit balances		
19.	Remittances and items not allocated	826,748	597,740
	Net adjustment in assets and liabilities due to foreign exchange rates		
21. 22.	Liability for benefits for employees and agents if not included above		
	Dividends to stockholders declared and unpaid		0
24.	Miscellaneous liabilities:		
	24.01 Asset valuation reserve		
	24.02 Reinsurance in unauthorized companies	0	0
	24.04 Payable to parent, subsidiaries and affiliates	0	0
	24.05 Drafts outstanding		0
	24.06 Liability for amounts held under uninsured plans 24.07 Funds held under coinsurance		
	24.08 Derivatives		0
	24.09 Payable for securities		0
	24.10 Payable for securities lending		0
	Aggregate write-ins for liabilities	1,033,345	0 1,041,465
26.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	178,785,767	177,495,879
	From Separate Accounts Statement		
28.	Total liabilities (Lines 26 and 27)	178,785,767	177,495,879
29. 30.	Common capital stock Preferred capital stock		2,000,000 0
31.	Aggregate write-ins for other than special surplus funds	0	0
	Surplus notes		
	Gross paid in and contributed surplus		
35.			
	Less treasury stock, at cost:		
	36.1	0	0
	Surplus (Total Lines 31+32+33+34+35-36) (including \$	54,320,264	51,824,002
	Totals of Lines 29, 30 and 37	56,320,264	53,824,002
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	235, 106, 031	231,319,881
2501.	DETAILS OF WRITE-INS Other liabilities	1 033 345	1 041 465
2502.	VIIII HADIIIII S	, 500,040	
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page	0   1,033,345	0 1,041,465
2599. 3101.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)		
3102.			
3103.			
3198. 3199.	Summary of remaining write-ins for Line 31 from overflow page	0	0
	Special Surplus associated with SSAP 10R	0	948,818
3402.	Sportal surprise accounted with some land		
3403.			
3498. 3499.	Summary of remaining write-ins for Line 34 from overflow page	0	0 948,818
J-700.	יסשוט (בוווסט סדט ו מוויסטקוו טדטט סוטט (בווופ טד מטטער)	U J	∂ <del>1</del> 0,010

#### **SUMMARY OF OPERATIONS**

		1	2	3
		Current Year	∠ Prior Year	ى Prior Year Ended
		To Date	To Date	December 31
1.	Premiums and annuity considerations for life and accident and health contracts		49,216,594	65,904,622
2.	Considerations for supplementary contracts with life contingencies.	1	0	00,004,022
			8.194.511	10.956.358
3.				
4.	Amortization of Interest Maintenance Reserve (IMR)	,		162,875
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	U		0
6.	Commissions and expense allowances on reinsurance ceded		0	0
7.	Reserve adjustments on reinsurance ceded	0	2,321,258	4,066,107
8.	Miscellaneous Income:			
	8.1 Income from fees associated with investment management, administration and contract	_		_
	guarantees from Separate Accounts	0	0	0
	8.2 Charges and fees for deposit-type contracts		0	0
	8.3 Aggregate write-ins for miscellaneous income	24,769	46,056	60,022
9.	Totals (Lines 1 to 8.3)	84,924,389	59,832,235	81, 149, 984
10.	Death benefits	26.895.782	16,038,454	21,573,483
11.	Matured endowments (excluding guaranteed annual pure endowments)		0	0
12.	Annuity benefits		0	0
l l	Disability benefits and benefits under accident and health contracts	25 250 726	15,089,229	20,538,948
13.	Disability benefits and benefits under accident and health contracts	25,359,730	13,009,229	
14.	Coupons, guaranteed annual pure endowments and similar benefits		U	0
15.	Surrender benefits and withdrawals for life contracts		7,238	7,238
16.	Group conversions	0	0	0
17.	Interest and adjustments on contract or deposit-type contract funds	45,508	88,919	99,683
18.	Payments on supplementary contracts with life contingencies	0	0	0
19.	Increase in aggregate reserves for life and accident and health contracts	12,234,430	10,632,751	18,095,654
20.	Totals (Lines 10 to 19)	64.535.690	41,856,591	60,315,006
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct			, ,
'-	business only)	6.641.983	6.249.507	8,324,591
22.	Commissions and expense allowances on reinsurance assumed	0	0	0
23.	General insurance expenses	6 271 R15	6, 151, 496	8,282,226
23. 24.	Insurance taxes, licenses and fees, excluding federal income taxes		2,678,590	3,557,410
l l			, ,	
25.	Increase in loading on deferred and uncollected premiums	36,723	· ·	17,345
26.	Net transfers to or (from) Separate Accounts net of reinsurance		0	0
27.	Aggregate write-ins for deductions		3,529	3,652
28.	Totals (Lines 20 to 27)	80, 114, 122	56,979,326	80,500,230
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus			
	Line 28)	4,810,267	2,852,909	649,754
30.	Dividends to policyholders	0	0	0
31.	Net gain from operations after dividends to policyholders and before federal income taxes (Line 29			
	minus Line 30)	4,810,267	2,852,909	649,754
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	1,525,110	1,346,107	1,551,329
33.	Net gain from operations after dividends to policyholders and federal income taxes and before	1,020,110	1,010,101	1,001,020
55.	realized capital gains or (losses) (Line 31 minus Line 32)	3 285 157	1.506.802	(901,575)
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	(001,010)
34.				
	gains tax of \$(4,727) (excluding taxes of \$11,210	4 707	(500, 070)	(444, 400)
	transferred to the IMR)	4,727	(586,070)	(441, 126)
35.	Net income (Line 33 plus Line 34)	3,289,884	920,732	(1,342,701)
	CAPITAL AND SURPLUS ACCOUNT			
36.	Capital and surplus, December 31, prior year	53,824,002	55,309,798	55,309,798
37.	Net income (Line 35)		920.732	(1,342,701)
38.	Change in net unrealized capital gains (losses) less capital gains tax of \$			
	Change in net unrealized capital gains (losses) less capital gains tax or \$\times_{		0,000	
39.	Change in het unrealized foreign exchange capital gain (loss)	(05, 004)		0
40.	Change in net deferred income tax	(95,881)	534,687	1,395,511
41.	Change in nonadmitted assets			(1,570,588)
42.	Change in liability for reinsurance in unauthorized companies		0	0
43.	Change in reserve on account of change in valuation basis, (increase) or decrease			0
44.	Change in asset valuation reserve	(194,728)	(41,383)	(332,759)
45.	Change in treasury stock		0	0
46.	Surplus (contributed to) withdrawn from Separate Accounts during period		0	0
47.	Other changes in surplus in Separate Accounts Statement		0	.0
48.			0	0
40. 49.	Cumulative effect of changes in accounting principles	n	0	0
50.	Capital changes:	_	_	•
	50.1 Paid in		0	0
	50.2 Transferred from surplus (Stock Dividend)		0	0
	50.3 Transferred to surplus	ļ0 <b>ļ</b>	0	0
51.	Surplus adjustment:			
	51.1 Paid in	0	0	0
	51.2 Transferred to capital (Stock Dividend)		0	0
	51.3 Transferred from capital		0	0
	51.4 Change in surplus as a result of reinsurance			0
52.	Dividends to stockholders		0	0
l l			58, 171	285,858
53.	Aggregate write-ins for gains and losses in surplus			,
54.	Net change in capital and surplus for the year (Lines 37 through 53)	2,496,262	1,085,112	(1,485,796)
55.	Capital and surplus, as of statement date (Lines 36 + 54)	56,320,264	56,394,910	53,824,002
	DETAILS OF WRITE-INS			
08.301.	Miscellaneous income	24,769	46,056	60,022
		· ·		, , , , , , , , , , , , , , , , , , ,
U8 3U8	Summary of remaining write-ins for Line 8.3 from overflow page		0	0
		24,769	46,056	60,022
	Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)			
	Miscellaneous expenses	· ·		3,652
-				
	Summary of remaining write-ins for Line 27 from overflow page	0	0	0
I	Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	1,020	3,529	3,652
	Special Surplus associated with SSAP 10R	0	58, 171	285,858
	opecial outplus associated with oon ton	·································		200,000
5303.	Cumpage of remaining units instact in 52 from quartery page			
	Summary of remaining write-ins for Line 53 from overflow page			0
5399.	Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	58, 171	285,858

	CASH FLOW	<del>_</del>		
		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	71,736,018	48,067,235	65,492,395
2.	Net investment income	8,791,979	8,220,911	11,142,010
3.	Miscellaneous income	24,769	46,056	60,022
4.	Total (Lines 1 to 3)	80,552,766	56,334,202	76,694,427
5.	Benefit and loss related payments	55,353,329	28,958,178	37,474,682
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	15,275,210	14,911,343	19,320,006
8.	Dividends paid to policyholders	0	0	0
9.	Federal and foreign income taxes paid (recovered) net of \$0 tax on capital			
	gains (losses)	1,710,000	0	(183,969)
10.	Total (Lines 5 through 9)	72,338,539	43,869,521	56,610,719
11.	Net cash from operations (Line 4 minus Line 10)	8,214,227	12,464,681	20,083,709
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	8,336,544	6,560,796	9,973,952
	12.2 Stocks	0	0	0
	12.3 Mortgage loans	5, 123, 326	3,580,351	6,369,217
	12.4 Real estate	0	0	0
	12.5 Other invested assets			
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
	12.7 Miscellaneous proceeds	49,000	0	0
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	13,508,870	10 , 141 , 147	16,343,169
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	12,596,575	13,413,549	21,496,440
	13.2 Stocks	0	0	0
	13.3 Mortgage loans	12,261,163	7,882,400	12,250,900
	13.4 Real estate	0	0	0
	13.5 Other invested assets	0	0	0
	13.6 Miscellaneous applications	0	0	58,940
	13.7 Total investments acquired (Lines 13.1 to 13.6)	24,857,738	21,295,949	33,806,280
14.	Net increase (or decrease) in contract loans and premium notes	890	828	830
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(11,349,758)	(11, 155, 630)	(17,463,941)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	0
	16.2 Capital and paid in surplus, less treasury stock	0	0	0
	16.3 Borrowed funds	0	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	(2,641,785)	(4,706)	7 , 184
	16.5 Dividends to stockholders	0	0	0
	16.6 Other cash provided (applied)	359,911	86,384	(297,563)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(2,281,874)	81,678	(290,379)
	DECONCILIATION OF CASH CASH EQUIVALENTS AND SHADT TERM INVESTMENTS			
10	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS  Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(5,417,405)	1,390,729	2,329,388
18. 10		(5,417,405)	1,380,729	2,329,388
19.	Cash, cash equivalents and short-term investments:	9,988,195	7,658,807	7,658,807
	19.2 End of period (Line 18 plus Line 19.1)	4,570,791	9,049,536	9,988,195

Note: Supplemental disclosures of cash flow information for non-cash transactions:		

#### **EXHIBIT 1**

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS								
		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31					
		10 Date	10 Date	December 51					
1.	Industrial life	0	0	0					
2.	Ordinary life insurance	259,849	270,287	335, 191					
3.	Ordinary individual annuities	0	0	0					
4.	Credit life (group and individual)	0	0	0					
5.	Group life insurance	32,510,909	31,530,913	43,230,171					
6.	Group annuities	0	0	0					
7.	A & H - group	40,277,977	42,236,593	55,556,893					
8.	A & H - credit (group and individual)	0	0	0					
9.	A & H - other	0	0	0					
10.	Aggregate of all other lines of business	0	0	0					
11.	Subtotal	73,048,735	74,037,793	99 , 122 , 255					
12.	Deposit-type contracts	0	0	0					
13.	Total	73,048,735	74,037,793	99, 122, 255					
	DETAILS OF WRITE-INS								
1001.									
1002.									
1003.									
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0					
1099.	Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0					

#### NOTES TO FINANCIAL STATEMENTS

#### 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

#### A. Accounting Practices

The Standard Life Insurance Company of New York ("Company") commenced business in October 2000. The Company currently markets group life, accidental death and dismemberment, dental, vision, Lasik, and disability income insurance products in New York through brokers and its own representatives. Group accident and health insurance was the first product licensed for sale in New York, followed by group life insurance in 2002, dental insurance in 2003 and vision and Lasik coverage in 2009 to complement the Company's insurance products to employer groups.

The accompanying statutory basis financial statements of the Company have been prepared in conformity with accounting practices prescribed or permitted by the New York State Department of Financial Services ("Department"). Only statutory accounting practices so prescribed or permitted by the State of New York can be used in determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under New York Insurance Law.

The National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual, ("NAIC SAP"), including the Statements of Statutory Accounting Principles ("SSAP") as updated by the NAIC, has been adopted with some exceptions by the Department.

A reconciliation of the Company's net income and capital and surplus between NAIC SSAP and practices prescribed and permitted by the State of New York in accordance with Appendix A-205 of the Accounting Manual for the period and prior year-end is shown below:

(In thousands)	Septem	ber 30, 2012	Decemb	oer 31, 2011
Net Income				
Statutory Net Income, New York basis	\$	3,290	\$	(1,343)
State Prescribed Practices that increase/(decrease) NAIC SAP:		_		_
State Permitted Practices that increase/(decrease) NAIC SAP:				_
Net Income in conformity with NAIC SAP	\$	3,290	\$	(1,343)
Surplus				
Statutory capital and surplus, New York basis	\$	56,320	\$	53,824
State Prescribed Practices that increase/(decrease) NAIC SAP:				
State Permitted Practices that increase/(decrease) NAIC SAP:				
Statutory capital and surplus in conformity with NAIC SAP	\$	56.320	\$	53.824

#### B. Use of Estimates

No significant change

C. Accounting Policies

No significant change

#### 2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

A. Material Changes in Accounting Principles and/or Corrections of Errors

The Company adopted SSAP No. 101, *Income Taxes, A Replacement of SSAP No. 10R and SSAP No. 10*, as of January 1, 2012. SSAP No. 101 determines the amount of deferred tax assets ("DTAs") which can be admitted based upon a three component admission calculation. One of the calculations is based on the Risk Based Capital ("RBC") Authorized Control Level ("ACL") ratio calculated without net deferred tax assets. If the ACL ratio is greater than 300%, then the DTAs can be admitted for three years. If the ratio is between 200% and 300%, then the DTAs can be admitted for one year. If the ACL ratio is less than 200%, then no DTAs can be admitted. As a result of adopting SSAP No. 101, \$949 thousand of additional admitted DTAs is no longer reported in 2012 on Page 3, line 34, Aggregate write-ins for special surplus funds, but is now included on Page 3, line 35, Unassigned funds (surplus). There was no change in assets or surplus as a result of adopting SSAP No. 101.

#### 3. BUSINESS COMBINATIONS AND GOODWILL

Not applicable

#### 4. DISCONTINUED OPERATIONS

Not applicable

#### INVESTMENTS

During the first nine months of 2012, the Company purchased \$12.3 million in mortgage loans and \$12.6 million in bonds. Mortgage loans represent 48.5% and bonds represent 49.4% of total cash and invested assets as of September 30, 2012. The Company had two restructured mortgage loans totaling \$22 thousand, net of the specific reserve of \$44 thousand, as of September 30, 2012.

#### D. Loan-backed Securities

- 1) Not applicable
- 2) Not applicable
- 3) Not applicable
- 4) Not applicable
- 5) Not applicable

#### **NOTES TO FINANCIAL STATEMENTS**

#### 6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

Not applicable

#### 7. INVESTMENT INCOME

No significant change

#### 8. DERIVATIVE INSTRUMENTS

Not applicable

#### 9. INCOME TAXES

The Company adopted SSAP No. 101 as of January 1, 2012. SSAP No. 101 determines the amount of DTAs which can be admitted based upon a three component admission calculation. One of the calculations is based on the RBC ACL ratio calculated without net deferred tax assets. If the ACL ratio is greater than 300%, the DTAs can be admitted for three years. If the ratio is between 200% and 300%, DTAs can be admitted for one year. If the ACL ratio is less than 200%, no DTAs can be admitted.

#### 10. INFORMATION CONCERNING PARENT, SUBSIDIARIES AND AFFILIATES

The Company purchases mortgage loans from its affiliate, Standard Insurance Company ("SIC"), which are originated by the Company's affiliate StanCorp Mortgage Investors, LLC ("SMI"). The Company purchased \$12.3 million in mortgage loans from SIC during the nine months ended September 30, 2012. No mortgage loans were sold during the first nine months of 2012.

The Company reported the following amounts due from its parent or affiliates:

(In thousands)	Septembe	September 30, 2012		ber 31, 2011
Standard Insurance Company	\$	253	\$	258
StanCorp Mortgage Investors, LLC		16		5
	\$	269	\$	263

Amounts due to or from the Company and its parent, subsidiaries or affiliates are generally settled monthly and accrue interest if not settled within 30 days. For the intercompany tax agreement, amounts are generally settled within 90 days after the tax return is filed and accrues interest if it is not settled.

Effective January 1, 2012, the modified coinsurance agreement with SIC was terminated, resulting in a 40% increase to premiums and claims.

#### 11 DEBT

Not applicable

#### 12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

No significant change

#### 13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

No significant change

#### 14. CONTINGENCIES

In the normal course of business, the Company can be involved in various legal actions and other state and federal proceedings. There was one legal action pending at September 30, 2012. In some instances, lawsuits may include claims for punitive damages and similar types of relief in unspecified or substantial amounts, in addition to amounts for alleged contractual liability or other compensatory damages. In the opinion of management, the ultimate liability, if any, arising from these actions or proceedings is not expected to have a material adverse effect on the Company's business, financial position, results of operations, or cash flows.

#### 15. LEASES

No significant change

#### 16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

No significant change

#### **NOTES TO FINANCIAL STATEMENTS**

#### 17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

#### C. Wash Sales

- 1) In the course of the company's asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the company's yield on its investment portfolio.
- No wash sales of NAIC designation 3 or below securities, or unrated securities, occurred during the quarter ended September 30, 2012.
- 18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED A & H PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

No significant change

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

No significant change

#### 20. Fair Value

A.

- 1) The Company does not have any assets or liabilities reported at fair value.
- 2) The Company does not have any assets or liabilities categorized within Level 3 of the fair value hierarchy.
- 3) Not applicable.
- 4) Not applicable.
- 5) The Company does not have any derivative assets or liabilities.

C.

(In thousands)	Agg	regate	Adm	nitted							Not P	racticable	
Type of financial instrument	Fair	Value	Asse	ets	Level 1		Lev	rel 2	Lev	el 3	(Carry	/ing Value)	
Bonds	\$	120,749	\$	109,953	\$	-	\$	120,749	\$	-	\$	-	
Commercial mortgage loans		122,791		108,019		-		-		122,791		-	

D. Not applicable.

#### 21. OTHER ITEMS

No significant change

#### 22. EVENTS SUBSEQUENT

Type I Subsequent events have been considered through November 5, 2012 for the statutory statement issued as of November 15, 2012.

There were no subsequent events to be reported.

<u>Type II</u> Subsequent events have been considered through November 5, 2012 for the statutory statement issued as of November 15, 2012.

There were no subsequent events to be reported.

#### 23. REINSURANCE

No significant change

#### 24. RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION

No significant change

#### 25. CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

Reserves as of January 1, 2012 were \$117.8 million. As of September 30, 2012, \$17.7 million has been paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years as of September 30, 2012 were \$105.6 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on group long term disability insurance. There was a \$5.4 million increase of prior year development from January 1, 2012 to September 30, 2012. Original estimates are increased or decreased as additional information becomes known regarding individual claims. Prior years' effects are combined with current experience in determining retrospective premium, premium refunds and renewal rates for the larger group contracts.

#### **NOTES TO FINANCIAL STATEMENTS**

26. INTERCOMPANY POOLING ARRANGEMENTS

Not applicable

27. STRUCTURED SETTLEMENTS

Not applicable

28. HEALTH CARE RECEIVABLES

Not applicable

29. PARTICIPATING POLICIES

Not applicable

30. PREMIUM DEFICIENCY RESERVES

The Company held no premium deficiency reserves as of September 30, 2012.

31. RESERVES FOR LIFE CONTRACTS AND DEPOSIT-TYPE CONTRACTS

No significant change

32. ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT TYPE LIABILITIES BY WITHDRAWAL CHARACTERISTICS

No significant change

33. PREMIUM AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED

No significant change

34. SEPARATE ACCOUNTS

Not applicable

35. LOSS/CLAIM ADJUSTMENT EXPENSES

No significant change

#### **GENERAL INTERROGATORIES**

#### PART 1 - COMMON INTERROGATORIES

#### **GENERAL**

1.1	Did the reporting entity experience any material transactions requiring the f Domicile, as required by the Model Act?				Yes [	] No [ X ]
1.2	If yes, has the report been filed with the domiciliary state?				Yes [	] No [ ]
2.1	Has any change been made during the year of this statement in the charter reporting entity?	r, by-laws, articles of incorporation	, or deed of settlemen	of the	Yes [	] No [ X ]
2.2	If yes, date of change:					
3.	Have there been any substantial changes in the organizational chart since If yes, complete the Schedule Y - Part 1 - organizational chart.	the prior quarter end?			Yes [	] No [ X ]
4.1	Has the reporting entity been a party to a merger or consolidation during the	he period covered by this statemer	nt?		Yes [	] No [ X ]
4.2	If yes, provide the name of the entity, NAIC Company Code, and state of deceased to exist as a result of the merger or consolidation.	omicile (use two letter state abbrev	viation) for any entity th	nat has		
	1 Name of Entity	2 NAIC Company Code	3 State of Domicile	7		
	Traine of Entry	Third demparty code	State of Borrione	_		
5.	If the reporting entity is subject to a management agreement, including thir in-fact, or similar agreement, have there been any significant changes reg	d-party administrator(s), managing garding the terms of the agreement	general agent(s), atto t or principals involved	rney- ? Yes	[ ] No [	X ] N/A [
6.1	State as of what date the latest financial examination of the reporting entity	y was made or is being made			. 12/3	31/2009
6.2	State the as of date that the latest financial examination report became availate should be the date of the examined balance sheet and not the date to				. 12/3	31/2009
6.3	State as of what date the latest financial examination report became availa the reporting entity. This is the release date or completion date of the exa date).	mination report and not the date of	f the examination (bala	ance sheet	. 09/	12/2011
6.4	By what department or departments?  New York State Department of Financial Services					
6.5	Have all financial statement adjustments within the latest financial examina statement filed with Departments?	ation report been accounted for in a	a subsequent financial	Yes	[ ] No [	] N/A [ X ]
6.6	Have all of the recommendations within the latest financial examination rep	port been complied with?		Yes	[ X ] No [	] N/A [
7.1	Has this reporting entity had any Certificates of Authority, licenses or regist revoked by any governmental entity during the reporting period?					] No [ X ]
7.2	If yes, give full information:					
8.1	Is the company a subsidiary of a bank holding company regulated by the F	ederal Reserve Board?			Yes [	] No [ X ]
8.2	If response to 8.1 is yes, please identify the name of the bank holding com	pany.				
8.3	Is the company affiliated with one or more banks, thrifts or securities firms?	?			Yes [ X	] No [ ]
8.4	If response to 8.3 is yes, please provide below the names and location (city regulatory services agency [i.e. the Federal Reserve Board (FRB), the Off Insurance Corporation (FDIC) and the Securities Exchange Commission	fice of the Comptroller of the Curre	ncy (OCC), the Feder	al Deposit		
	1	2	3	4 5		
	Affiliate Name  StanCorn Equities Inc.	Location (City, State)	FRB	OCC FDI		

·	2	0		J	
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
StanCorp Equities, Inc.	Portland, OR	NO	NO	NO	YES
StanCorp Investment Advisers, Inc.	Portland, OR	NO	NO	NO	YES

#### **GENERAL INTERROGATORIES**

9.1	(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  (c) Compliance with applicable governmental laws, rules and regulations;  (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  (e) Accountability for adherence to the code.	Yes [ X ] No [ ]
9.11		
9.2	Has the code of ethics for senior managers been amended?	Yes [ ] No [ X ]
9.21	If the response to 9.2 is Yes, provide information related to amendment(s).	
9.3 9.31	Have any provisions of the code of ethics been waived for any of the specified officers?  If the response to 9.3 is Yes, provide the nature of any waiver(s).	Yes [ ] No [ X ]
	FINANCIAL	
10.1 10.2	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?	
11 1	INVESTMENT  Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for	
	use by another person? (Exclude securities under securities lending agreements.)	Yes [ ] No [ X ]
12.	Amount of real estate and mortgages held in other invested assets in Schedule BA:	0
13.	Amount of real estate and mortgages held in short-term investments:	
14.1	Does the reporting entity have any investments in parent, subsidiaries and affiliates?	Yes [ ] No [ X ]
14.2	If yes, please complete the following:	
	Bonds \$\begin{array}{c} 1 \\ Prior Year-End \\ Book/Adjusted \\ Carrying Value \end{array}\$	2 Current Quarter Book/Adjusted Carrying Value
		\$0
	Preferred Stock \$ 0	\$0
	Common Stock \$ 0	\$0
	Short-Term Investments \$ 0  Mortgage Loans on Real Estate \$ 0	\$0
	All Other\$0	\$0 \$0
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$0 \$0
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	\$0
15.1 15.2	Has the reporting entity entered into any hedging transactions reported on Schedule DB?	Yes [ ] No [ X ] Yes [ ] No [ ]

#### **GENERAL INTERROGATORIES**

Name of	1 Custodian(s)	Custo	2 Custodian Address						
BNY Mellon									
For all agreements that do not com location and a complete explanation	ply with the requirements of the NAIC Fon:	Financial Condition Examiners Ha	ndbook, provide the name,						
1	2		3						
Name(s)  Have there been any changes, inclu	Location(s)  uding name changes, in the custodian(s)		lete Explanation(s)  rent quarter?	Yes [					
Have there been any changes, incluing tyes, give full information relating to	uding name changes, in the custodian(shereto:	s) identified in 16.1 during the cur	rent quarter?4	. Yes [					
Have there been any changes, including the second of the s	uding name changes, in the custodian(shereto:	s) identified in 16.1 during the cur	rent quarter?4 Reason						
Have there been any changes, incluing tyes, give full information relating to Old Custodian  Identify all investment advisors, bro	uding name changes, in the custodian(shereto:	s) identified in 16.1 during the cur  3  Date of Change  shalf of broker/dealers that have a	rent quarter?4 Reason						
Have there been any changes, including the second of the s	uding name changes, in the custodian(shereto:    2	s) identified in 16.1 during the cur  3  Date of Change  shalf of broker/dealers that have a	rent quarter?						

#### **GENERAL INTERROGATORIES**

#### PART 2 - LIFE & HEALTH

1.	Report the statement value of mortgage loans at the end of this reporting period for the following categories:	1 Amount
	1.1 Long-Term Mortgages In Good Standing	Amount
	1.11 Farm Mortgages	\$0
	1.12 Residential Mortgages	\$0
	1.13 Commercial Mortgages	\$107,997,001
	1.14 Total Mortgages in Good Standing	\$ 107,997,001
	1.2 Long-Term Mortgages In Good Standing with Restructured Terms	
	1.21 Total Mortgages in Good Standing with Restructured Terms	\$ 22,476
	1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months	
	1.31 Farm Mortgages	\$0
	1.32 Residential Mortgages	
	1.33 Commercial Mortgages	\$0
	1.34 Total Mortgages with Interest Overdue more than Three Months	\$0
	1.4 Long-Term Mortgage Loans in Process of Foreclosure	
	1.41 Farm Mortgages	\$0
	1.42 Residential Mortgages	
	1.43 Commercial Mortgages	\$0
	1.44 Total Mortgages in Process of Foreclosure	\$0
1.5	Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$ 108,019,477
1.6	Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
	1.61 Farm Mortgages	\$0
	1.62 Residential Mortgages	\$0
	1.63 Commercial Mortgages	\$0
	1.64 Total Mortgages Foreclosed and Transferred to Real Estate	\$0
2.	Operating Percentages:	
	2.1 A&H loss percent	87.460 %
	2.2 A&H cost containment percent	
	2.3 A&H expense percent excluding cost containment expenses	
3.1	Do you act as a custodian for health savings accounts?	
3.2	If yes, please provide the amount of custodial funds held as of the reporting date	\$0
3.3	Do you act as an administrator for health savings accounts?	Yes [ ] No [ X ]
2.4	If we please provide the halance of the funds administered as of the reporting date	¢ 0

3.4 If yes, please provide the balance of the funds administered as of the reporting date ......

#### **SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC	2	3	Showing All New Reinsurance Treaties 4	5	6 7 Type of Is Insurer
NAIC	-		<del>-</del>	Ü	Type of Is Insurer
INAIC					Type of is insurer
Company	Federal	Effective			Reinsurance   Authorized?
Company Code	Federal ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Reinsurance Authorized? Ceded (Yes or No)
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#### SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

	Current Year To Date - Allocated by States and Territories  Direct Business Only										
			1			4 Accident and	5	6	7		
Second Property						Health Insurance Premiums.					
States   Contract			Active	Life Insurance	Annuity	Including Policy,	Other		Denosit-Tyne		
Anthony   Anth			Status	Premiums	Considerations	and Other Fees	Considerations	2 Through 5			
A. Adams					0	0	0	0	0 n		
Control					0	0	0	1,809	0		
Common					0	0	0		0		
7. Corrections CPUT-100					0	0	0	,	0		
Display Columbia   Display   Displ					0	0	0	,	0		
10				, , , , , , , , , , , , , , , , , , , ,	0	0	0	, , , , , ,	0		
Concept	9.	District of Columbia DC	N	0	0	0	0	0	0		
12   Hereal   HI				, ,	0	0	0	10,428	0		
10   14   15   16   16   17   18   16   18   18   18   18   18   18		9			0	0	0	0	0		
Ministration					0	0	0	0	0		
16   100		-			0	0	0	3,111	0		
17   Kormea					0	0	0	0	0		
Manual				0	0	0	0	0	0		
10   Incidentes				0	0	0	0	0	0		
20   Marie   Me		- · · · <b>,</b> · · · · · · · · · · · · · · · · · · ·			0	0	0	0	0		
22   Marisan   Mil   N			N	0	0	0	0	0	0		
23		· • · · · · · · · · · · · · · · · · · ·			0	0	0		0		
24.   Minresorte				,	0	0	0	2,685	0		
25. Missiaspip — MS		3			0 n	0 n	0 n	0	0 n		
26						0	0	0	0		
28	26.	Missouri MO		0	0	0	0	0	0		
23				0	0	0	0	0	0		
10				0	0	0	0	0	0		
13. New Mersey				0 0	0	0 n	0	0	0		
33. Nort Carolina NC			N		0	0	0	15,735	0		
34			N		0		0		0		
36			L		0	, ,	0		0		
36. ONO				,	0	0	0	5,935	0 n		
38. Oregon					0	0	0	0	0		
199, Pennsylvania	37.		N	0	0	0	0	0	0		
40. Rhode Island		9			0	0	0	0	0		
41 South Cardina SC N, 3.32 0 0 0 0 3.382  42 South Dakote SD N, 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0					0	0	0		0		
42 South Datolae SD N 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0					0	0	0		0		
44   Texas			N	,	0		0	,	0		
45. Ulah	43.	Tennessee TN	N	•	0	0	0	0	0		
46				, ,	0	0	0	(82)	0		
47   Wignia					0	0	0	0	0		
Mashington					0	0	0	0	0		
50   Wisconsin			N	0	0	0	0	0	0		
15   Wyoning		9			0	0	0	0	0		
Section   Samos   AS   N   0   0   0   0   0   0   0   0   0					0	0	0	0	0		
53. Guam					0	0	0	0	0		
55. U.S. Virgin Islands					0	0	0	0	0		
Section   Southern Mariana Islands   MP	54.				0	0	0	481	0		
57.   Canada					0	0	0	0	0		
58. Aggregate Other Aliens         OT         XXX         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0					0	0	0	0	0		
59. Subtotal         (a)         1         32,770,758         0         .40,277,977         0         .73,048,735           90. Reporting entity contributions for employee benefits plans         XXX         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0 <td< td=""><td></td><td></td><td></td><td></td><td>0</td><td>0 n</td><td>0</td><td>0</td><td>0</td></td<>					0	0 n	0	0	0		
90. Reporting entity contributions for employee benefits plans.    SYX		00 0			0		0	73,048,735	0		
91. Dividends or refunds applied to purchase paid-up additions and annulties.  22. Dividends or refunds applied to shorten endowment or premium paying period.  23. Premium or annuity considerations waived under disability or other contract provisions.  24. Aggregate or other amounts not allocable by State.  25. Totals (Direct Business).  26. Plus Reinsurance Assumed.  27. Totals (All Business).  27. Totals (All Business).  27. Totals (All Business).  27. Totals (All Business) less Reinsurance Ceded.  27. XXX.  28. 29. 19. 19. 19. 19. 19. 19. 19. 19. 19. 1	90.		1007	-	_	_	-		-		
additions and annutities. XXX	Q1	•	XXX	0	} <sup>0</sup>	ļ0	0	<u> </u> 0	0		
92. Dividends or refunds applied to shorten endowment or premium paying period.  93. Premium or annuity considerations waived under disability or other contract provisions.  94. Aggregate or other amounts not allocable by State.  95. Totals (Direct Business).  96. Plus Reinsurance Assumed.  97. Totals (All Business).  98. Less Reinsurance Ceded.  99. Totals (All Business).  99. Totals (All Business) less Reinsurance Ceded  90. Totals (Lines 9401 through 9403 plus 9498)(Line	91.		XXX	0	0	0	0	0	0		
93. Premium or annuity considerations waived under disability or other contract provisions. XXX 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	92.	Dividends or refunds applied to shorten endowment									
disability or other contract provisions	00		XXX	0	ļ0	0	0	ļ0	0		
94. Aggregate or other amounts not allocable by State	93.		XXX	n	n	n	n	n	n		
95. Totals (Direct Business).	94.			0			0	0	0		
97 Totals (All Business).		Totals (Direct Business)					0		0		
98. Less Reinsurance Ceded.							0		0		
99. Totals (All Business) less Reinsurance Ceded XXX 28,991,736 0 35,132,519 0 64,124,255  DETAILS OF WRITE-INS  5801.		· ·				, ,	0	, ,	0 0		
DETAILS OF WRITE-INS  5801.									0		
5802.       XXX       XXX       5803.       XXX       XXX       5803.       XXX			-	,_,,,,	<u> </u>	, -2=, -10	<u> </u>	21,121,230	Ţ.		
5803.       XXX       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0 </td <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>											
5898. Summary of remaining write-ins for Line 58 from overflow page       XXX       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0											
overflow page         XXX         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0		Summary of remaining write-ins for Line 58 from	XXX								
5899. Totals (Lines 5801 through 5803 plus 5898)(Line 58 above)     XXX     0     0     0     0     0       9401.     XXX     0     0     0     0     0       9402.     XXX     0     0     0     0     0       9403.     XXX     0     0     0     0     0     0       9498. Summary of remaining write-ins for Line 94 from overflow page     XXX     0     0     0     0     0     0       9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)     XXX     0     0     0     0     0     0	JU30.		xxx	0	0	0	0	0	0		
9401	5899.	Totals (Lines 5801 through 5803 plus 5898)(Line									
9402. XXX 9403. XXX 9403. XXX 9498. Summary of remaining write-ins for Line 94 from overflow page XXX 0 0 0 0 0 0 0 0 9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above) XXX 0 0 0 0 0 0 0 0	0.10.	58 above)		0	0	0	0	0	0		
9403.					<b></b>			<b></b>			
9498. Summary of remaining write-ins for Line 94 from overflow page					İ			İ			
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above) XXX 0 0 0 0 0 0											
94 above) XXX 0 0 0 0 0 0	0.40-		XXX	0	0	0	0	0	0		
	9499.		XXX	n	n	n	n	n	0		
	L) Licens			·			fied or Accredited I	-			

<sup>(</sup>L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Q Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state. (a) Insert the number of L responses except for Canada and Other Alien.

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMEBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATION CHART

Company	FEIN	NAIC	State	Ownership
StanCorp Financial Group, Inc ("SFG")	93-1253576		OR	
The Standard Life Insurance Company of New York	13-4119477	89009	NY	100% owned by SFG
Standard Insurance Company	93-0242990	69019	OR	100% owned by SFG
Standard Management, Inc. ("SM, Inc.")	93-0928203		OR	100% owned by SFG
Emerald Fuel LLC	27-2896556		OR	100% owned by SM, Inc.
Emerald Retail LLC	27-2896675		OR	100% owned by SM, Inc.
StanCorp Equities, Inc.	93-0930972		OR	100% owned by SFG
StanCorp Investment Advisers, Inc.	93-1296382		OR	100% owned by SFG
Standard Retirement Services, Inc.	25-1838406		OR	100% owned by SFG
Stancorp Mortgage Investors, LLC	93-1191029		OR	100% owned by SFG
StanCorp Mortgage Investors Pass-Through, LLC	26-1758088		OR	100% owned by SFG
StanCorp Real Estate, LLC ("SRE")	93-1191030		OR	100% owned by SFG
7 Upper Newport Plaza LLC	27-3026658		OR	100% owned by SRE
2809 West Broadway LLC	27-3034980		OR	100% owned by SRE
3305 Peachtree Industrial Blvd LLC	37-1574842		OR	51% owned by SRE
7924 Victoria Drive LLC	27-1433940		OR	66% owned by SRE
1300 Westwood Avenue LLC	45-4432062		OR	100% owned by SRE
1901-1915 Whitcomb Street LLC	27-1430092		OR	51% owned by SRE
580 Parkson Road LLC	27-0444732		OR	26.01% owned by SRE
2716 South Sixth Avenue LLC	27-1261902		OR	26.01% owned by SRE
74824 Lennon Place LLC	27-1561612		OR	51% owned by SRE
1801 Chamberlayne Avenue LLC	27-1561807		OR	81.44% owned by SRE
1160 South Elm Road LLC	27-1460055		OR	100% owned by SRE
3501 Lapeer Road LLC	27-1460023		OR	100% owned by SRE
2429 Nicollet Avenue South LLC	27-1071824		OR	100% owned by SRE
2301 Avenue J LLC	27-0469845		OR	100% owned by SRE
8910 Astronaut Boulevard LLC	27-3016823		OR	75.5% owned by SRE
7076 Corporate Way LLC	45-4412758		OR	100% owned by SRE
835 Beachway Drive LLC	27-3633174		OR	51% owned by SRE
811 South Brawley Avenue LLC	27-0442855		OR	57.5% owned by SRE
4696 Overland Road LLC	27-4067623		OR	100% owned by SRE
1701 Dalton Road LLC	27-4120143		OR	51% owned by SRE
1353 Ransom Road LLC	27-1071735		OR	100% owned by SRE
100 Ville Drive LLC	27-4419403		OR	100% owned by SRE

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMEBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATION CHART

npany	FEIN	NAIC	State	Ownership
24155 Drake Road LLC	27-4437475		OR	100% owned by SRE
8195 Dexter Road LLC	27-4420364		OR	100% owned by SRE
1857 Paterson Avenue LLC	27-4594698		OR	51% owned by SRE
16200 East River Road LLC	27-4593098		OR	100% owned by SRE
4688 Golden Pond Park Court LLC	27-0444658		OR	51% owned by SRE
3600 West Vegas Drive LLC	27-5012068		OR	100% owned by SRE
333 Buckhead Avenue LLC	27-5447799		OR	51% owned by SRE
16000 West 9 Mile Road LLC	45-2323959		OR	100% owned by SRE
1627 East 18th Street and 1808 N Boise Avenue LLC	45-2440011		OR	51% owned by SRE
Commercial Office Union Street South LLC	45-2591776		OR	51% owned by SRE
9 and 17-21 Union Street North LLC	45-2493973		OR	80% owned by SRE
West Congress Office, LLC	45-2439866		OR	51% owned by SRE
220 South Claybrook Street LLC	45-2585116		OR	100% owned by SRE
2560 28th Street LLC	45-2592371		OR	51% owned by SRE
1301 Riverwoods Drive LLC	45-2754808		OR	100% owned by SRE
1130 East March Lane LLC	45-2972593		OR	51% owned by SRE
5701 Shingle Creek Parkway LLC	45-2876368		OR	51% owned by SRE
8910 Research Blvd LLC	45-3193763		OR	51% owned by SRE
13091 Pond Springs Road LLC	45-3193834		OR	51% owned by SRE
854 Rockaway Avenue LLC	26-4600874		OR	51% owned by SRE
5439 South Decatur Boulevard LLC	45-4345308		OR	100% owned by SRE
4120 15th Street LLC	45-3850451		OR	100% owned by SRE
14250 Burnhaven Drive LLC	45-2606175		OR	69.23% owned by SRE
2716 Dixie Highway LLC	45-3707942		OR	51% owned by SRE
651 North Cherokee Lane LLC	45-3322846		OR	51% owned by SRE
21 Lawrence Paquette Industrial Drive LLC	27-4330298		OR	100% owned by SRE
1381-1399 Florin Road LLC	45-3789788		OR	100% owned by SRE
425 Peachtree Hills Avenue Units 11 and 12 LLC	45-3642881		OR	80% owned by SRE
425 Peachtree Hills Avenue Units 29C, 30C, 31C and 31B LLC	45-3647008		OR	80% owned by SRE
425 Peachtree Hills Avenue Units 29A, 30B, and 31A LLC	45-3649739		OR	80% owned by SRE
4005 and 4035 El Capitan Way LLC	45-3038154		OR	51% owned by SRE
9109 Piipin Road LLC	45-4778576		OR	51% owned by SRE
1751 East Ohio Pike LLC	45-4778632		OR	51% owned by SRE
2169 Tuley Road LLC	45-4778668		OR	51% owned by SRE

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMEBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATION CHART

pany	FEIN	NAIC	State	Ownership
5747 State Route 128 LLC	45-4783675		OR	51% owned by SRE
5942 Peoples Lane LLC	45-4789789		OR	51% owned by SRE
4011 Bramblewood Drive LLC	45-4789829		OR	51% owned by SRE
1166 South Sage Drive LLC	45-4798917		OR	100% owned by SRE
711 North Main Street LLC	45-4806242		OR	100% owned by SRE
1427-1515 SE 122nd Avenue LLC	45-5222646		OR	65.7% owned by SRE
5305 East 18th Street LLC	45-5222606		OR	100% owned by SRE
10605-10710 Deme Drive LLC	45-4789896		OR	100% owned by SRE
5817 and 5841 Thunderbird Road LLC	45-4789863		OR	100% owned by SRE
1425 Richmond Road LLC	45-5114517		OR	65.7% owned by SRE
3393 Warrensville Center Road LLC	27-0442567		OR	26.01% owned by SRE
349 Northern Boulevard LLC	45-4979444		OR	51% owned by SRE
2500 Industrial Avenue LLC	45-5248741		OR	51% owned by SRE
2570 Industrial Way LLC	45-5248641		OR	100% owned by SRE
7200 State Road 52 LLC	45-5530914		OR	100% owned by SRE
4260 Sisk Road LLC	46-0548523		OR	100% owned by SRE
8652 Jake Carlton Road LLC	45-5520043		OR	100% owned by SRE
2760 Avalon Boulevard LLC	27-3422189		OR	100% owned by SRE
20373 W Central Avenue LLC	27-3428556		OR	100% owned by SRE
2385 South Ferdon Boulevard LLC	27-3422372		OR	100% owned by SRE
3301 S. Ferdon Boulevard LLC	27-3422636		OR	100% owned by SRE
5661 Highway 4 LLC	27-3422779		OR	100% owned by SRE
2902 Pat Thomas Parkway LLC	00-0000000		OR	100% owned by SRE
4062 W Lafayette LLC	27-3428027		OR	100% owned by SRE
3040 North W Street LLC	27-3428284		OR	100% owned by SRE
Adaptu, LLC	27-3296886		OR	100% owned by SFG
Stonemill Business Park	93-1289767		OR	100% owned by SFG
Standard Insurance Company Continuing Health & Welfare Benefits Trust	93-1097066		OR	100% owned by SFG
Standard Insurance Company Employee Health & Welfare Benefits Trust	93-1097064		OR	100% owned by SFG
The Standard Charitable Foundation	20-3997125		OR	100% owned by SFG

#### SCHEDULE Y

#### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
1 2	3	4	5	6	7	8	9	10	11	12	13	14	15
							-			Type	If		
										of Control	Control		
										(Ownership,	is		
										Board,	Owner-		
								Relationship		Management,	ship		
	NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group	Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
1348StanCorp Financial Group, Inc.		93-1253576		0000879088	New York Stock Exchange	StanCorp Financial Group, Inc	OR		,	Board of Directors	0.000 St	anCorp Financial Group, Inc	
					-	The Standard Life Insurance Company							
1348 StanCorp Financial Group, Inc	89009	13-4119477				of New York	NY	JA	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc	
1348 StanCorp Financial Group, Inc	69019	93-0242990				Standard Insurance Company	OR	A	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc	
		93-0928203				Standard Management, Inc.	OR	NIA	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc	
		93-0930972				StanCorp Equities, Inc.	OR	NIA	StanCorp Financial Group, Inc.	Ownership	100.000 St	anCorp Financial Group, Inc	
		93-1191029				StanCorp Mortgage Investors, LLC	OR	NIA	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc	
		93-1296382				StanCorp Investment Advisers, Inc	OR	NIA	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc	
		25-1838406				Standard Retirement Services, Inc	OR	NIA	StanCorp Financial Group, Inc	Ownership		anCorp Financial Group, Inc	
	[	27-3296886				Adaptu, LLC	OR	NIA	StanCorp Financial Group, Inc	Ownership		anCorp Financial Group, Inc.	
	[	93-1289767				Stonemill Business Park	OR	NIA	StanCorp Financial Group, Inc	Ownership		anCorp Financial Group, Inc	
			1			Standard Insurance Company Continuing						•	
	[	93-1097066				Health & Welfare Benefits Trust	OR	NIA	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc.	.
						Standard Insurance Company Employee							
		93-1097064				Health & Welfare Benefits Trust	OR	NIA	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc	
		20-3997125				The Standard Charitable Foundation	OR	NIA	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc	
						StanCorp Mortgage Investors Pass-							
		26-1758088				Through, LLC	OR	NIA	StanCorp Financial Group, Inc	Ownership	100.000 St	anCorp Financial Group, Inc	
		93-1191030				StanCorp Real Estate, LLC	OR	NIA	StanCorp Financial Group, Inc	Ownership		anCorp Financial Group, Inc.	
		27-3026658				7 Upper Newport Plaza LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-3034980				2809 West Broadway LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000 St	anCorp Financial Group, Inc.	
		37-1574842				3305 Peachtree Industrial Blvd LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-1433940				7924 Victoria Drive LLC	0R	NIA	StanCorp Real Estate, LLC	Ownership	66.000 St	anCorp Financial Group, Inc.	
		45-4432062				1300 Westwood Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000 St	anCorp Financial Group, Inc.	
		27-1430092				1901-1915 Whitcomb Street LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000 St	anCorp Financial Group, Inc	
		27-0444732				580 Parkson Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	26.010 St	anCorp Financial Group, Inc	
		27-1261902				2716 South Sixth Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	26.010 St	anCorp Financial Group, Inc	
		27-1561612				74824 Lennon Place LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000 St	anCorp Financial Group, Inc.	
		27-1561807				1801 Chamberlayne Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	81.440 St	anCorp Financial Group, Inc.	
		27-1460055				1160 South Elm Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc	
		27-1460023				3501 Lapeer Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000 St	anCorp Financial Group, Inc.	
		27-1071824				2429 Nicollet Avenue South LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000 St	anCorp Financial Group, Inc	
		27-0469845				2301 Avenue J LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000 St	anCorp Financial Group, Inc.	
		27-3016823				8910 Astronaut Boulevard LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc	
		45-4412758				7076 Corporate Way LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
J		27-3633174				835 Beachway Drive LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000 St	anCorp Financial Group, Inc	
		27-0442855				811 South Brawley Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-4067623				4696 Overland Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-4120143				1701 Dalton Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000 St	anCorp Financial Group, Inc.	
	[	27-1071735				1353 Ransom Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-4419403				100 Ville Drive LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc	
		27-4437475				24155 Drake Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-4420364				8195 Dexter Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	.
		27-4594698				1857 Paterson Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-4593098				16200 East River Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-0444658				4688 Golden Pond Park Court LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership.		anCorp Financial Group, Inc.	
		27-5012068				3600 West Vegas Drive LLC	0R	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
		27-5447799		l		333 Buckhead Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000 St	anCorp Financial Group, Inc.	
		45-2323959				16000 West 9 Mile Road LLC	OR.	NIA	StanCorp Real Estate, LLC	Ownership		anCorp Financial Group, Inc.	
ı	1	]				1627 East 18th Street and 1808 N			]				
	1	45-2440011	I	1		Boise Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership.	.51.000 St	anCorp Financial Group, Inc	
						50.00 ATOMO LEO	ـــــا الا		deranger brown Forato, FFO	• "ITOT OTT   P	ان ددد.	1 111anoras aroup, 1110	.

#### SCHEDULE Y

#### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
1	2	3	4	5	6	7	8	9	10	11	12 Type of Control (Ownership, Board,	13 If Control is Owner-	14	15
		NAIC				Name of Securities Exchange	Names of	Domi-	Relationship to		Management, Attorney-in-Fact,	ship Provide		
Group Code	Group Name	Company Code	Federal ID Number	Federal RSSD	CIK	if Publicly Traded (U.S. or International)	Parent, Subsidiaries Or Affiliates	ciliary Location	Reporting Entity	Directly Controlled by (Name of Entity/Person)	Influence, Other)	Percen- tage	Ultimate Controlling Entity(ies)/Person(s)	*
							Commercial Office Union Street South							
			45-2591776				LLC	0R	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			45-2493973				9 and 17-21 Union Street North LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	000.08	StanCorp Financial Group, Inc.	
			45-2439866				West Congress Office, LLC	0R	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc	
			45-2585116				220 South Claybrook Street LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc	
			45-2592371				2560 28th Street LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			45-2754808				1301 Riverwoods Drive LLC	0R	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc	
			45-2972593				1130 East March Lane LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			45-2876368				5701 Shingle Creek Parkway LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc	
			45-3193763				8910 Research Blvd LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			45-3193834				13091 Pond Springs Road LLC	0R	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			26-4600874				854 Rockaway Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc.	
			45-4345308				5439 South Decatur Boulevard LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc	
			45-3850451				4120 15th Street LLC	0R	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc	
			45-2606175				14250 Burnhaven Drive LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	69.230	StanCorp Financial Group, Inc	
			45-3707942				2716 Dixie Highway LLC	0R	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			45-3322846				651 North Cherokee Lane LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
							21 Lawrence Paquette Industrial Driv	е						
			27-4330298				LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership.	100.000	StanCorp Financial Group, Inc	
			45-3789788				1381-1399 Florin Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc	
							425 Peachtree Hills Avenue Units 11				·			
			45-3642881				and 12 LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	000.08	StanCorp Financial Group, Inc.	
							425 Peachtree Hills Avenue Units 290	,			·			
			45-3647008				30C, 31C and 31B LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	000.08	StanCorp Financial Group, Inc.	
							425 Peachtree Hills Avenue Units 29A	,			·			
			45-3649739				30B, and 31A LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	000.08	StanCorp Financial Group, Inc	
			45-3038154				4005 and 4035 El Capitan Way LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc.	
			45-4778576				9109 Piipin Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc.	
			45-4778632				1751 East Ohio Pike LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			45-4778668				2169 Tuley Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			45-4783675				5747 State Route 128 LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc.	
			45-4789789				5942 Peoples Lane LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc.	
			45-4789829				4011 Bramblewood Drive LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	51.000	StanCorp Financial Group, Inc	
			45-4798917				1166 South Sage Drive LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc.	
			45-4806242				711 North Main Street LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc.	
			45-5222646				1427-1515 SE 122nd Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	65.700	StanCorp Financial Group, Inc	
			45-5222606				5305 East 18th Street LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc	
			45-4789896				10605-10710 Deme Drive LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc.	
			45-4789863				5817 and 5841 Thunderbird Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc	
			45-5114517				1425 Richmond Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	65.700	StanCorp Financial Group, Inc.	
			27-0442567				3393 Warrensville Center Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	26.010	StanCorp Financial Group, Inc.	
			45-4979444				349 Northern Boulevard LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc.	
			45-5248741				2500 Industrial Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc	.
			45-5248641				2570 Industrial Way LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc.	
			45-5530914				7200 State Road 52 LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc.	.
			46-0548523				4260 Sisk Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc.	
			45-5520043				8652 Jake Carlton Road LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc.	.
			27-3422189				2760 Avalon Boulevard LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc	
			27-3428556				20373 W Central Avenue LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc.	. [
			27-3422372				2385 South Ferdon Boulevard LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership	100.000	StanCorp Financial Group, Inc	
			27-3422636				3301 S. Ferdon Boulevard LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc.	
			•				•		•					

#### SCHEDULE Y

#### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
											Type	If		
											of Control	Control		
											(Ownership,	is		
											Board,	Owner-		
									Relationship		Management,	ship		
		NAIC				Name of Securities Exchange		Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
			27-3422779				5661 Highway 4 LLC	OR		StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc	
			00-0000000				2902 Pat Thomas Parkway LLC	OR		StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc	
			27-3428027				4062 W Lafayette LLC	OR	NIA	StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc	
			27-3428284				3040 North W Street LLC	OR		StanCorp Real Estate, LLC	Ownership		StanCorp Financial Group, Inc	
			27-2896556				Emerald Fuel LLC	OR		Standard Management, Inc	Ownership		StanCorp Financial Group, Inc	
			27-2896675				Emerald Retail LLC	OR	NIA	Standard Management, Inc	Ownership	100.000	StanCorp Financial Group, Inc	

Asterisk	Explanation	

#### SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the sta domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXV filed with the state of domicile and electronically with the NAIC?	l be NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	
7.		'alue)
1. 2. 3. 4. 5.	Explanation:	
7.		
	Bar Code:	
1.	Trusteed Surplus Statement [Document Identifier 490]	
2.	Medicare Part D Coverage Supplement [Document Identifier 365]	
3.	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	

- 4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]

  5. Reasonableness of Assumptions Certification for Implied Consistency Retailed

  6. Reasonableness of Assumptions Certification for Implied Consistency Retailed

  7. Reasonableness of Assumptions Certification for Implied Consistency Retailed

  8. Reasonableness and Consistency of Assumptions Certification for Implied Consistency Retailed

  8. Reasonableness and Consistency of Assumptions Certification for Implied Consistency Retailed

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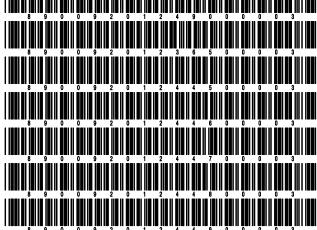
  8. Reasonableness of Retailed

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  8. Reasonableness of Retailed

  8. Reasonableness of
- Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
- 6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



## NONE

#### **SCHEDULE A - VERIFICATION**

Real Estate

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted a rrying to be		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

#### **SCHEDULE B - VERIFICATION**

Mortgage Loans

	Wortgage Loans	1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year	101,017,158	95,738,845
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition	12,255,047	12,250,900
	2.2 Additional investment made after acquisition	6, 116	0
3.	Capitalized deferred interest and other	0	0
4.	Accrual of discount	0	0
5.	Unrealized valuation increase (decrease)	0	0
6.	Total gain (loss) on disposals	0	(602,835)
7.	Deduct amounts received on disposals	5, 123, 326	6,369,217
8.	Deduct amortization of premium and mortgage interest points and commitment fees  Total foreign exchange change in book value/recorded investment excluding accrued interest	389	535
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10.	Deduct current year's other than temporary impairment recognized	0	0
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	108, 154, 606	101,017,158
12.	Total valuation allowance	(135, 129)	(6,414)
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts	0	0
15.	Statement value at end of current period (Line 13 minus Line 14)	108,019,477	101,010,744

#### **SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	-	1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

#### **SCHEDULE D - VERIFICATION**

Bonds and Stocks

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	105,806,413	94,341,459
2.	Cost of bonds and stocks acquired		
3.	Accrual of discount	106,290	132,296
4.	Unrealized valuation increase (decrease)	0	0
5.	Total gain (loss) on disposals	32,028	202 , 180
6.	Deduct consideration for bonds and stocks disposed of	8,336,544	9,973,952
7.	Deduct amortization of premium	252,029	392,010
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	109,952,733	105,806,413
11.	Deduct total nonadmitted amounts	0	0
12.	Statement value at end of current period (Line 10 minus Line 11)	109,952,733	105,806,413

#### **SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

Builty	g the Current Quarter	for all Bonds and Pr						
	1 Book/Adjusted	2	3	4	5 Book/Adjusted	6 Book/Adjusted	7 Book/Adjusted	8 Book/Adjusted
	Carrying Value	Acquisitions	Dispositions	Non-Trading Activity	Carrying Value	Carrying Value	Carrying Value	Carrying Value
	Beginning	During	During	During	End of	End of	End of	December 31
	of Current Quarter	Current Quarter	Current Quarter	Current Quarter	First Quarter	Second Quarter	Third Quarter	Prior Year
BONDS								
1. Class 1 (a)		0	2,230,000	(991,200)	67,375,917	68,480,299	65,259,099	63,125,830
2. Class 2 (a)	40,058,395	2,786,683	0	945,225	42,333,450	40,058,395	43,790,303	41,775,112
3. Class 3 (a)	904,051	0	0	(720)	904,763	904,051	903,331	905,466
4. Class 4 (a)	0	0	0	0	0	0	0	0
5. Class 5 (a)	0	0	0	0	0	0	0	
6. Class 6 (a)	0	0	0	0	0	0	0	(
7. Total Bonds	109,442,745	2,786,683	2,230,000	(46,695)	110,614,130	109,442,745	109,952,733	105,806,414
PREFERRED STOCK								
8. Class 1	0	0	0	0	0	0	0	(
9. Class 2	0	0	0	0	0	0	0	(
10. Class 3	0	0	0	0	0	0	0	(
11. Class 4	0	0	0	0	0	0	0	
12. Class 5	0	0	0	0	0	0	0	
13. Class 6	0	0	0	0	0	0	0	
14. Total Preferred Stock	0	0	0	0	0	0	0	
15. Total Bonds and Preferred Stock	109,442,745	2,786,683	2,230,000	(46,695)	110,614,130	109,442,745	109,952,733	105,806,414

## Schedule DA - Part 1 - Short-Term Investments $N\ O\ N\ E$

Schedule DA - Verification - Short-Term Investments NONE

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards NONE

Schedule DB - Part B - Verification - Futures Contracts NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

Schedule E - Verification - Cash Equivalents NONE

Schedule A - Part 2 - Real Estate Acquired and Additions Made NONE

Schedule A - Part 3 - Real Estate Disposed NONE

#### **SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3					Additional	
			Loan			Actual Cost at	Investment Made	Value of Land
Loan Number	City	State	Type	Date Acquired	Rate of Interest	Time of Acquisition	After Acquisition	and Buildings
B2031306	TUCSON	AZ		07/11/2012	5.350	980,000	0	1,318,100
	INGLEWOOD	CA		07/11/2012	4.375		0	1,002,540
	LAFAYETTE	CA		08/09/2012	4.875	552,475	0	
	SANTA BARBARA	CA		08/09/2012	5.125		0	973,258
	VAN NUYS	CA		08/09/2012	4.875	524,062		700,854
	COMMERCE CITY	CO		08/09/2012	5.250	428,450	0	578 , 182
	GENEVA	JL		07/11/2012	5.500		0	
	BRENTWOOD	MD		08/09/2012	5.250	270,600	0	426 , 195
	SAN ANTONIO			08/09/2012	5.375	500,610	0	
0599999. Mortgages in good star	nding - Commercial mortgages-all other					4,908,797	0	7,364,395
0899999. Total Mortgages in goo	od standing					4,908,797	0	7,364,395
1699999. Total - Restructured Mo	ortgages					0	0	0
2499999. Total - Mortgages with	overdue interest over 90 days					0	0	0
3299999. Total - Mortgages in the	e process of foreclosure					0	0	0
					<b>4</b>			
					<b>4</b>			
					<b></b>			
3399999 - Totals						4,908,797	0	7,364,395

#### **SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location	n	4 5	6	7		Change	in Book Value	e/Recorded Inv	restment		14	15	16	17	18
	2	3			Book Value/	8	9	10	11	12	13	Book Value/				
					Recorded			Current				Recorded				
					Investment			Year's Other		Total		Investment		Foreign		
					Excluding	Unrealized	Current	Than	Capitalized	Change	Total Foreign	Excluding		Exchange	Realized	Total
					Accrued	Valuation	Year's	Temporary	Deferred	in	Exchange	Accrued		Gain	Gain	Gain
			Loan Date	Disposal	Interest	Increase	(Amortization)	Impairment	Interest and	Book Value		Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Loan Number	City	State	Type Acquired	Date	Prior Year	(Decrease)	/Accretion	Recognized	Other	(8+9-10+11)			eration	Disposal	Disposal	Disposal
A4122703	PEORIA	AZ	03/16/2005	09/26/2012	607,083	0	0	0	0	0	0	607,083	607,083	0	0	
A7091003	TEMPE	AZ	12/14/2007	07/02/2012	262 , 114	0	0	0	0	0	0	262,114	262 , 114	0	0	
A2071803	CENTENNIAL	co	10/01/2002	08/08/2012	78,007	0	0	0	0	0	0	78,007	78,007	0	0	
A2072602	ANNAPOLIS	MD	11/12/2002	08/21/2012	77,813	0	0	0	0	0	0	77,813	77,813	0	0	0
A2081604	COMMACK	NY	11/12/2002	08/02/2012	74,694	0	0	0	0	0	0	74,694	74,694	0	0	
A2080101	BOERNE	TX	10/01/2002	09/12/2012	76,623	0	0	0	0	0	0	76,623	76,623	0	0	0
A2072402	OGDEN	UT	09/03/2002	08/01/2012	63,318	0	0	0	0	0	0	63,318	63,318	0	0	0
0199999. Mortgages clo	sed by repayment				1,239,652	0	0	0	0	0	0	1,239,652	1,239,652	0	0	0
A8041402	GADSDEN	AL	07/14/2008		4,440	0	0	0	0	0	0	4,440	4,440	0	0	0
B1112903	MOBILE	AL	05/10/2012		0	0	0	0	0	0	0	3,275	3,275	0	0	0
B2021303	AVONDALE	AZ	05/10/2012		0	0	0	0	0	0	0	2,739	2,739	0	0	0
A9042402	. CHANDLER	AZ	08/03/2009		3,273	0	0	0	0	0	0	3,273	3,273	0	0	0
A4121502	GILBERT		03/16/2005		4,456	0	0	0	0	0	0	4,456	4,456	0	0	0
B0120303	MESA	AZ	02/09/2011		2,583	0	0	0	0	0	0	2,583	2,583	0	0	
A9021301	PHOENIX	- LAZ	08/03/2009		863	0	0	0	ļ0	ļ0	0	863	863	0	0	LQ
A9070201	PHOENIX	AZ	09/10/2009		1,365	0	0	0	10	0	0	1,365	1,365	0	0	0
A6081605	PHOENIX	AZ	11/15/2006		3,762	0	0	0	0	0	0	3,762	3,762	0	0	0
A4102802	SAN LUIS	AZ	04/21/2005		7,565	0	0	0	10	10	0	7,565	7,565	0	0	ļ
B0120809	TEMPE	AZ	02/09/2011		2,110	0	0	0	10	0	0	2,110 .	2,110	0	0	
A6103103 B2031306	TUCSON	AZ	01/16/2007 07/11/2012		3,978	0	10	0	ļ0	10	0	3,978	3,978	0	ļ0	ļ
A9010702	TUGOUN	AZ			0.400	0	0	0	10	0	0			0	0	
B1030702	ANTELOPE	AZ	06/09/2011		2,403 2,547	٥	10	0	10	ļ0	0	2,403 2,547	2,403		10	1
A9030422	APPLE VALLEY	CA			1.949	٠٥	0	0	10	0	0	1.949	1.949		0	
A9U3U4ZZ	APPLE VALLET	CACA			I,949		0		0	J0	L0		1,949	0	JU	

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter.

			Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter														
1	Location	1	4								14	15	16	17	18		
	2	3	1		Boo	ok Value/	8	9	10	11	12	13	Book Value/				
					Re	ecorded			Current				Recorded				
					Inv	vestment			Year's Other		Total		Investment		Foreign		
					Ex	xcluding	Unrealized	Current	Than	Capitalized	Change	Total Foreign	Excluding		Exchange	Realized	Total
					A	Accrued	Valuation	Year's	Temporary	Deferred	in	Exchange	Accrued		Gain	Gain	Gain
			Loan	Date		Interest	Increase	(Amortization)			Book Value	Change in	Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Loan Number	City	State	Type	Acquired		rior Year	(Decrease)	/Accretion	Recognized		(8+9-10+11)		Disposal	eration	Disposal	Disposal	Disposal
A6011101	AUBURN	CA	71	03/17/2006		3,319	0	0	0	)0	0	0	3,319	3,319	0	0	0
B0031003	BAKERSF I ELD	CA		09/07/2010		3, 196	0	0	0	00	0	0	3, 196	3, 196	0	0	0
B0040803	BAKERSFIELD	CA		08/10/2010		1,504	0	0	0	00	0	0	1,504	1,504	0	0	0
B1010501	BELL GARDENS	CA		04/11/2011		4,326	0	0	0	0	0	0	4,326	4,326	0	0	0
A2072901	BUENA PARK	CA		11/12/2002		1,812 3,772	0	0	0	0	0	0	1,812 3,772	1,812 3,772	0	0	0
A6121302	CARMICHAEL	CA		08/10/2010		1,770		0	0	0	0	0	1,770	1,770 م		0	0
A5042701	CORONA	CA		07/27/2005		3,336	0	0	0	0	0	0	3,336	3,336	0	0	0
A5011201	ESCONDIDO	CA		04/21/2005		6,079	0	0	0	0	0	0	6,079	6,079	0	0	0
B0042104	FRESNO	CA		07/08/2010		1,365	0	0	0	00	0	0	1,365	1,365	0	0	0
A4121604	HANFORD	CA	ļ	03/16/2005		4,449	0	0	0	00	0	0	4,449	4,449	0	0	0
A5072602	HOLLYWOOD	CA	<b></b>	12/16/2005		7,611	0	0	0	)	ļ0	0	7,611	7,611	0	ļ0	ļ0
A8021307 B0110302	HUNTINGTON BEACH	CA	<del> </del>	05/09/2008 02/09/2011		3,359 1,039	0	0	0	0	<u>0</u>	0	3,359 1,039	3,359 1,039	0	}0	<u>0</u>
B2042601	INGLEWOOD	CA	t	02/09/2011			u	0		,   <sup>0</sup>	J0	0	1,039	1,039	u	0	,
B2051405	LAFAYETTE	CA		08/09/2012		0	o	0	n	,o	0	0	1.364	1,364	0 0	0	0
B0040503	LANCASTER	CA		06/08/2010		1,666	0	0	0	0	0	0	1,666	1,666	0	0	0
A6110603	LOMPOC	CA		01/16/2007		2,678	0	0	0	00	0	0	2,678	2,678	0	0	0
B1102705	LOS ANGELES	CA		02/21/2012		0	0	0	0	00	0	0	4,096	4,096	0	0	0
B0081103	LOS ANGELES	CA		11/09/2010		1,850	0	0	0	0	0	0	1,850	1,850	0	0	0
B1082301	MENLO PARK	CA		12/08/2011		3,336 2,137	0	0	0	0	0	0	3,336 2.137	3,336 2.137	0	0	0
B0112201	NIPOMO			03/09/2011						0	0	0	1,517	1,517		0	0
B0112202	NIPOMO	CA		03/09/2011		1,071	0	0	0	0	0	0	1,071	1,071	0	0	0
B1110903	NORTH HOLLYWOOD	CA		02/21/2012		0	0	0	0	0	0	0	3,047	3,047	0	0	0
B0081802	ONTARIO	CA		11/09/2010		1,699	0	0	0	00	0	0	1,699	1,699	0	0	0
A9030418	ONTARIO	CACA		06/01/2009		978	0	0	0	00	0	0	978	978	0	0	0
B1110403	OXNARD	CA		03/08/2012		0	0	0	0	0	0	0	2,565	2,565	0	0	0
A6081401	OXNARDPALM SPRINGS	CA		12/11/2006		4,074 1,297		0	0	0	0	0	4,074 1,297	4,074 1,297	0	0	0
B1062203	PANORAMA CITY	CA		10/11/2011		6,006	٥	(129)	٥	0	(129	) 0	5,877	5,877	٥	n	0
A5120209	POMONA	CA		03/17/2006		4,390	0	0	0	0	0	0	4,390	4,390	0	0	0
A6111004	RANCHO CORDOVA	CA		02/20/2007		1,955	0	0	0	00	0	0	1,955	1,955	0	0	0
A6111006	RANCHO CORDOVA	CACA		02/20/2007		2,515	0	0	0	00	0	0	2,515	2,515	0	0	0
A6111007	RANCHO CORDOVA	CA		02/20/2007		2,515	0	0	0	0	0	0	2,515	2,515	0	0	0
A6070706 A5081904	RANCHO CORDOVA	CA		10/16/2006 12/16/2005		2,640 5,449	0	0	0	0	0	0	2,640 5,449	2,640 5.449	0	0	0
A5101205	REDWOOD CITY	CA		02/17/2006			٥	0		0	0	0	1,552	1,552	٥	0	0
B0080602	ROCKLIN	CA		11/09/2010		1,736	0	0	0	0	0	0	1,736	1,736	0	0	0
A8070103	SACRAMENTO	CA		10/10/2008		848	0	0	0	0	0	0	848	848	0	0	0
A2081904	SACRAMENTO	CA	<b> </b>	11/12/2002		418	0	0	0	00	0	0	418	418	0	0	0
A20819043	SACRAMENTO	CA		08/15/2008		957	0	0	0	0	0	0	957	957	0	0	0
B0032903 B1012703	SAN CARLOS	CA	<del> </del>	07/08/2010 04/11/2011		1,305 2,360	0	0	0	·0	<del> </del> 0	ļ0	1,305 2,360	1,305 2,360	0	}0	ļ0
B1082504	SAN DIEGO	CA	†	12/08/2011			 n	n	n	, )	n	0	1,969	1,969	 n	n	n
A9060501	SAN DIEGO	CA		08/03/2009		851	0	0	0	) [0	0	0	851	851	0	0	0
A6082403	SAN DIEGO	CA		11/15/2006		3,336	0	0	0	0	0	0	3,336	3,336	0	0	0
A7080202	SAN FRANCISCO	CA	<b> </b>	10/16/2007		1,412	0	0	0	00	0	0	1,412	1,412	0	0	0
A6082803	SAN JOSE	CA	<b></b>	12/11/2006		5,472	0	0	0	0	0	0	5,472	5,472	0	0	0
A5033004A6011002	SAN JOSE	CA	<del> </del>	07/27/2005 03/17/2006		4,828 4,673	0	ļ0	ļ0	·0	·0	ļ0	4,828 4,673	4,828 4,673	0	ļ0	ļ0
A8062001	SAN JOSE	CA	·	03/1//2006			u	U		,0	0	0	3.899	4,673	 n	0	0 n
B1071203	SAN JOSE	CA		10/11/2011		2,082	0	0		)	0	0	2,082	2,082	0 N	0	0
B0110806	SAN JOSE	CA	I	02/09/2011		3,623	0	0	0	0	0	0	3,623	3,623	0	0	0
A8010304	SAN LEANDRO	CA	ļ	04/14/2008		1,348	0	0	0	00	0	0	1,348	1,348	0	0	0
A4120107	SAN LUIS OBISPO	CA		03/16/2005		11,002	0	0	0	)  0	0	0	11,002	11,002	0	0	0
B0031201	SAN LUIS OBISPO	CA	<del> </del>	06/08/2010		1,056	ō	ļō	ļ0	,ō	ļō	0	1,056	1,056	0	ļō	ļō
A9101603	SANTA ANASANTA BARBARA	CA		12/03/2009 08/09/2012		1,762		0		0	l0	0	1,762 1,190	1,762 1,190		0	0
B0052701	SANTA CLARITA	CA	†	08/10/2012		1,382	 n	n	n	, )	n	n	1,382	1,190	 n	n	n
10002701	CTOCKTON	04	<b>†</b>	10/10/2010		1 041							1 241	1 041			

#### **SCHEDULE B - PART 3**

					All Mortgage Loans	DISPOSE	ED, Transfe								1		1
1	Locatio		4	5	6	7			in Book Value			10	14	15	16	17	18
	2	3				k Value/	8	9	10	11	12	13	Book Value/				
						corded estment			Current Year's Other		Total		Recorded Investment		Foreign		
							l loroolizad	Current		Conitalizad		Total Foreign	Excluding		Exchange	Realized	Total
							Unrealized Valuation	Current Year's	Than	Capitalized Deferred	Change	Exchange	Accrued		Gain	Gain	Gain
			Loan	Date					Temporary		in		Interest on	Consid-			
Loop Number	O:t-	Chata					Increase	(Amortization)	Impairment	Interest and		Change in			(Loss) on	(Loss) on	(Loss) on
Loan Number	City	State	Туре	Acquired	Date Prio		(Decrease)	/Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration	Disposal	Disposal	Disposal
A2081509 B0072005	SUNNYVALE	CACA		11/12/2002		1,107 2,104		0	0	0	0	0	1,107 L	1, 107 2, 104	0	0	0
B2031401	VAN NUYS	CA		08/09/2012		2, 104	٥	0	0	0	0	0		897	0	0 N	0
A2061804	VENICE			09/03/2002		706	0	0	0	0	0	0	706	706		0	0
A7040607	VENTURA	CA		11/15/2007		2,921	0	0	0	0	0	0	2,921	2,921	0	0	0
B1113001	VENTURA	CA		03/08/2012		0	0	0	0	0	0	0	1 , 133	1, 133	0	0	0
B0071303	VISTA			10/07/2010		2,284	0	0	0	0	0	0	2,284	2,284	0	0	0
A7101803	WESTMINSTER	CA		02/14/2008		5,087	0	0	0	0	0	0	5,087	5,087	0	0	0
A9072101	COLORADO SPRINGS			09/10/2009		6,978	0	0	0	0	0	0	6,978	6,978	0	0	0
B2042302	COMMERCE CITY			08/09/2012		4 077	0	0	0	0	0	0	1,570	1,570	0	0	0
B1071202 A7110502	ESTES PARK		†	10/11/201102/14/2008	l	4,277 1,853	0 n	0	0	0 n	1	0	4,277 1,853	4,277 1,853	0 n	0 ^	0 n
A9042704	LITTLETON		†	08/03/2009		1,853	 n	n	n	n	n	n	1,369	1,369	n	0 n	n
A8080805	PLATTEVILLE		I	11/13/2008		3,605	0	0	0	0	0	0	3,605	3,605	0	0	0
B0080612	STRATFORD			11/09/2010		2,844	0	0	0	0	0	0	2,844	2,844	0	0	0
A7022804	LEWES	DE	4	06/18/2007		3,500	0	0	0	0	0	0	3,500	3,500	0	0	0
A6020903	BARTOW	FL		06/01/2006		5,698	0	0	0	0	0	0	5,698	5,698	0	0	0
B1020106	CAPE CORAL	<u> </u> <u>F</u> L		04/11/2011		2,638	0	0	0	0	0	0	2,638	2,638	0	0	0
A4120203 B1030701	CLERMONT			04/21/2005		10,366 1,654	0	0	0	0	0	0	10,366 L	10,366 1,654	0	0	0
A5071903	FT LAUDERDALE	FI		12/16/2005		7,318	٥	0	0	0	0	0	7,318	7,318	0	٥	0
A6121505	FT MYERS	FI		03/19/2007		4.035	0	0	0	0	0	0	4.035	4.035	0		0
A6121506	FT MYERS	FL		03/19/2007		4,932	0	0	0	0	0	0	4,932	4,932	0	0	0
A6052601	GAINESVILLE	FL		09/18/2006		9,442	0	0	0	0	0	0	9,442	9,442	0	0	0
A7071601	KISSIMMEE	FL		10/16/2007		1,783	0	0	0	0	0	0	1,783	1,783	0	0	0
B0032203	PANAMA CITY	FL		06/08/2010		2,000	0	0	0	0	0	0	2,000	2,000	0	0	0
B2012604	PLANT CITYPOMPANO BEACH	FL		05/10/2012		5.329	0	0	0	0	0	0	2,514	2,514	0	0	0
B0111503 A9070101	PORT ORANGE			03/09/2011		2,518	0	0	0	0		0	5,329 2,518	5,329 2,518	0		0
A7083009	PORT ORANGE	FI		12/14/2007		1,674	٥	0	0	0	0	0	1,674	1,674	0		0
A6030801	PORT RICHEY	FL		06/01/2006		1,662	0	0	0	0	0	0	1,662	1,662	0	0	0
A7073103	ST AUGUSTINE	FL		11/15/2007		2,076	0	0	0	0	0	0	2,076	2,076	0	0	0
A8082204	TAMPA	FL		12/11/2008		4,603	0	0	0	0	0	0	4,603	4,603	0	0	0
A9042701	WINTER GARDEN			08/17/2009		1 , 313	0	0	0	0	0	0	1,313	1,313	0	0	0
A6042901	ACWORTH	GAGA		07/17/2006		3,287	0	0	0	0	0	0	3,287	3,287	0	0	0
A6042902 A6042903	ATLANTA	GA		07/17/2006		1,379 1,377	0	0	0	0	0	0	1,379 . 1,377	1,379 1,377	0	0	0
A6042904	ATLANTA	GA		07/17/2006		1,406	٥	0	0	0	0		1,406	1,406	0		0
A7090424	ATLANTA	GA	1	12/14/2007		4,676	0	0	0	0	0	0	4,676	4,676	0	0	0
A9081801	ATLANTA	GA		12/03/2009		4,507	0	0	0	0	0	0	4,507	4,507	0	0	0
A8072803	ATLANTA		.4	10/10/2008		2,579	0	0	0	0	0	0	2,579	2,579	0	0	0
A7121205	CALHOUN	GA	+	05/09/2008		4,208	0	0	0	0	0	0	4,208	4,208	0	0	0
A6042908 A9081802	CUMMING DECATUR	GA	+	08/15/2006		2,404	0	ļō	ļō	0	ļō	0	2,404 1,698	2,404	0	0	ļō
A9081802	DECATUR	GA	+	12/03/2009		1,698 5,489		0	0	0	0	0		1,698 5,489	0	0	0
A9081809	DECATOR	GA	†	12/03/2009		1,459	 n	n	n	n	n	n	1,459	1,459	n	0 n	n
A8030712	GARDEN CITY		I	07/14/2008		3,523	0			0	0	0	3,523	3,523	0	0	0
A9081805	HAPEVILLE	GA	1	12/03/2009		1,778	0	0	0	0	0	0	1,778	1,778	0	0	0
A5102406	HEPHZIBAH	GA		02/17/2006		5,485	0	0	0	0	0	0	5,485	5,485	0	0	0
A9081804	JONESBORO			12/03/2009	ļ	2,626	0	0	0	0	0	0	2,626	2,626	0	0	0
A9081808	LITHONIA	GA		12/03/2009		2,123	0	ļ0	ļ0	0	0	0	2,123	2,123	0	0	ļ0
B0030102 A7100103	MARIETTA	GAGA	+	06/08/2010		1,738	0	}0	}0	0	<u>0</u>	0	1,738	1,738	0	0	}0
A7100103 A6042924	MCDONOUGH	GA	+	05/09/2008		2,997 2,245	0	0	0	0	0	0	2,997 2,245	2,997 2,245	0	0	0
A9081807	RIVERDALE	GA	†	12/03/2009		1,644	 n	n	n	n	n	n	1,644	1,644	n	0 n	n
A9081806	STONE MOUNTAIN	GA GA	1	12/03/2009		2,413	0	0	0	0	0	0	2,413	2,413	0	0	0
A8082803	STONE MOUTNAIN	GA	1	11/13/2008		3,703	0	0	0	0	0	0	3,703	3,703	0	0	0
A9061701	CHICAGO	IL		10/06/2009		9,801	0	0	0	0	0	0	9,801	9,801	0	0	0
B1070609	CHICAGO	<u> </u>		01/25/2012		0	0	0	0	0	0	0	3,120	3, 120	0	0	0
A7102501	CHICAGO RIDGE		+	02/14/2008		4,602	0			ļ	ļ0	0	4,602	4,602	0	0	0
A7111403	CICERO	1 11	1	03/14/2008	l l	4.598	0	١	١	. 0			4.598	4.598	ı 0		

#### **SCHEDULE B - PART 3**

			•		All Mortgage Loans DISI	POSED, Trans										1
1	Locatio		4	5	6 7	. ,		e in Book Value				14	15	16	17	18
	2	3			Book Valu		9	10	11	12	13	Book Value/				
					Recorde			Current		T-4-1		Recorded		Foreign		
					Investme		0	Year's Other	0 - 21 - 11 1	Total	T. (.) F	Investment		Foreign	Doglizad	Total
					Excludin		Current	Than	Capitalized	Change	Total Foreign	Excluding		Exchange	Realized	
				D.11	Accrued		Year's	Temporary	Deferred	in	Exchange	Accrued	0	Gain	Gain	Gain
			Loan	Date	Disposal Interest	Increase	(Amortization		Interest and		Change in	Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Loan Number	City	State	Туре	Acquired	Date Prior Yea		/Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration	Disposal	Disposal	Disposal
A8020806	DES PLAINES	IL		07/14/2008	4,		0	0	0	0	0	4,047	4,047	0	0	0
A9071401 B1082207	GENEVA GENEVA	IL		10/06/2009 07/11/2012	5,	142	0	0	0	0	0	5,142 . 1,656	5, 142 1,656	0	0	0
A9042708	HOFFMAN ESTATES	IL		08/17/2009	1,	552	0	0	0	0	0	1,652	1,652	0	0	
B0052101	LOVES PARK	IL		08/10/2010		162	0	0	0	0	0	1,462	1,462	0	0	0
A6030709	VILLA PARK	IL		06/01/2006	3		0	0	0	0	0	3,294	3,294	0	0	0
A8080804	CHESTERFIELD	IN		11/13/2008	3,		00	0	0	0	0	3,485	3,485	0	0	0
A8012104	CROWN POINT	IN		05/09/2008	3,		00	0	0	0	0	3,493	3,493	0	0	0
B0111502	INDIANAPOLIS	IN		03/09/2011	2,		00	0	0	0	0	2,807	2,807	0	0	0
A2010202	ZIONSVILLE	IN		04/05/2002		083(	0	0	0	0	0	1,083	1,083	0	0	0
A6032707 A7082403	KANSAS CITY	KS	+	07/17/2006	3,		,0	0	0	0	0	3,753 . 3,070	3,753 3,070	0	0	0
B0051008	MIDDLEBORO	NΔ	†	08/10/2010			,	0	U	n	0	2,546	2,546	n	U	n
A6040306	ASHTON	MD	İ	07/17/2006			)	0	0	0	0	3,538	3,538	0	n	0
A6040307	BETHESDA	MD	I	07/17/2006			0	0	0	0	0	3,484	3,484	0	0	0
B2041206	BRENTWOOD	MD	4	08/09/2012			0	0	0	0	0	992	992	0	0	0
B0071903	COLUMBIA	MD		10/07/2010	9,		00	0	0	0	0	9,931	9,931	0	0	0
A6040305	OLNEY		+	07/17/2006	3,	593	0	0	0	0	0	3,593	3,593	0	0	0
A6040304	WHEATON	MD		07/17/2006	3,		0	0	0	0	0	3,616	3,616	0	0	0
A2051601A7071104	PORTLAND	MI		09/03/2002 10/16/2007		982 303	)  0	0	0	0	0	982 3.303	982 3,303	0	0	0
A2061201	CHESTERFIELD	MI		10/10/2002		517	0	0		0	0	517	517	0	0	0
A9070701	CLINTON TOWNSHIP	MI		12/03/2009		225	0	0	0	0	0	2,225	2,225	0	0	0
A2081402	DETROIT	MI		11/12/2002		948	0	0	0	0	0	948	948	0	0	0
A4120303	FARMINGTON HILLS	MI		04/01/2005	6,	414(	00	0	0	0	0	6,414	6,414	0	0	0
A9031801	KALAMAZOO	MI		10/06/2009	3,		00	0	0	0	0	3,350	3,350	0	0	0
A8062007	LANSING	.  MI		09/11/2008	2,			0	0	0	0	2,840	2,840	0	0	0
A2051301A9040804	LIVONIA	MI		08/01/2002	2,	926		0	0	0	0	926 _ 2,713 _	926 2,713	0	0	0
A8123102	SHERIDAN	MI		03/12/2009	3,	561 (	0	0	0	0	0	3,561	3,561	0	0	0
A7071105	WASHINGTON TOWNSHIP	MI		10/16/2007			0	0	0	0	0	3,135	3,135	0	0	0
A7021401	WEST ST PAUL	MN		05/14/2007		620	0	0	0	0	0	2,620	2,620	0	0	0
A6032706	KANSAS CITY	MO		07/17/2006	3,		00	0	0	0	0	3,932	3,932	0	0	0
A6032705	LEES SUMMIT	MO		07/17/2006		346	00	0	0	0	0	4,646	4,646	0	0	0
A4120204	PARKVILLE	MO		03/16/2005	J	554(	0	0	0	0	0	7,554	7,554	0	0	0
B1120901 B1093002	CHARLOTTE	NCNC	+	03/08/2012 01/25/2012			0	0	0	0	0	3,385 3,469	3,385 3,469	0	0	0
B1072601	RALEIGH	NC	†	12/08/2011	6	139	,   <sup>0</sup>	0		0	U	6.139	6,139	0	U	0
A9022704	RALEIGH	NC		06/01/2009		679 (	0	0	0	0	0	1,679	1,679	0	0	0
A2083001	OMAHA	NE		11/12/2002	1,	356	0	0	0	0	0	1,856	1,856	0	0	0
A7031603	OMAHA	NE	4	06/18/2007	2	918	)  0	0	0	0	0	2,918	2,918	0	0	0
A7062104	MATAWAN	NJ		10/16/2007			00	0	0	0	0	1,624	1,624	0	0	0
A6061206	MILLVILLE	NJ	+	09/18/2006	ļ <u>4</u> ,	616	)	0	0	ļō	ļ0	4,616	4,616	ļō	0	ļō
A6092202A7120411	ALBUQUERQUE	NM	+	12/11/2006			,0	0	0	0	0	7,418 . 3,077	7,418 3,077	0	0	0
A9040103	ALBUQUERQUE	NM	†	07/01/2009	3.		,	0 n	0 n	n	n	3,426	3,426	n	n	n
B0111201	ALBUQUERQUE	NM	1	02/09/2011		929	0	0	0	0	0	1,929	1,929	0	0	0
A5091209	SANTA FE	NM	1	12/16/2005		285	0	0	0	0	0	3,285	3,285	0	0	0
A1030201	HENDERSON	NV		06/01/2001		773	00	0	0	0	0	773	773	0	0	0
A5110303	LAS VEGAS	NV		06/01/2006		352	)  0	0	0	0	0	1,852	1,852	0	0	0
A6110904	LAS VEGAS	NV		03/19/2007			0	0	0	0	0	7,757	7,757	0	0	ļ0
A9092402A6031702	LAS VEGAS	NVNV	+	12/03/2009		300	·0	0	0	ļ0	0	800	800	ļ0	0	}0
A6031702A6031703	ALBANYALBANY	NY NY	+	07/17/2006 07/17/2006	2,	101		0	0	0	0	2,101 _ 1,838 _	2,101 1,838	0	0	0
A6030305	ALDANT	NY	· †	06/01/2006		141(		0	0	0	0	1,141	1,141	0	0	0
A2040502	AMITYVILLE	NY	1	09/03/2002		399	0	0	0	0	0	1,899	1,899	0	0	0
A6022201	BUFFALO	NY	1	07/17/2006	5,	916	0	0	0	0	0	5,916	5,916	0	0	0
A9062501	CENTEREACH	NY		09/10/2009		184(	00	0	0	0	0	3, 184	3, 184	0	0	0
A7011701	CHEEKTOWAGA	NY		06/18/2007		750	)  0	0	0	0	0	1,750	1,750	0	0	0
A6030304	LANCASTER	NY	+	06/19/2006		558(	0	0	0	0	0	1,558	1,558	0	0	ļ0
A9040802	LATHAM	NY	1	07/01/2009	. 2	702		. 0		. 0	. 0	2.702	2.702			. 0

#### **SCHEDULE B - PART 3**

			1		All Mortgage Loans DIS	POSED, Trans								16		1
1	Location		4	5	Change in Book Yalaan tooliaa in Tooliaan							14 15			17	18
	2	3					9	10	11	12	13	Book Value/				
					Record			Current		T-4-1		Recorded		Foreign		
					Investme		0	Year's Other	0 - 21 - 12 1	Total	T. (.) F	Investment		Foreign	Doglizad	Total
					Excludi		Current	Than	Capitalized	Change	Total Foreign	Excluding		Exchange	Realized	
				Date	Accrue		Year's	Temporary	Deferred	in	Exchange	Accrued	0	Gain	Gain	Gain
			Loan	Date	Disposal Interes		(Amortization		Interest and		Change in	Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Loan Number	City	State	Туре	Acquired	Date Prior Ye		/Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration	Disposal	Disposal	Disposal
A7061306	NIAGARA FALLS	NY		10/16/2007		(	0  0	0	0	00	0	2,273 .	2,273	0	0	0
B1101905	PENFIELD	NY	+	01/25/2012 07/01/2009	<u></u>	0	0	0	0	0	0	7,590 .		0	0	0
A6122201	CINCINNATI	NYN		04/16/2007		712	J0	0	0		0	3,080 712	712	0	0	0
A7122703	CINCINNATI	OH		09/11/2008		2,005	)o	0	0	0	0	2,005	2,005	0	0	0
A5033003	EATON	OH	Ī	07/27/2005		,370	0	0	0	0	0	5,370	5,370	0	0	0
A7011709	GARFIELD HEIGHTS	OH		04/16/2007		,939	0	0	0	0	0	1,939	1,939	0	0	0
A7103107	OREGON	OH		02/14/2008		,324	00	0	0	00	0	3,324	3,324	0	0	0
B0030903	STRONGSVILLE	OH		06/08/2010		,567	00	0	0	00	0	4,567	4,567	0	0	0
A9070601	OKLAHOMA CITY	OK	<b>.</b>	09/10/2009		,950	0  0	0	0	00	0	2,950	2,950	0	0	0
A5012601	EUGENE	OR	<b>+</b>	04/21/2005		,012	0	} <u>0</u>	0	·0	ļ0	5,012	5,012	0	0	ļ0
A7030113A5062904	HILLSBORO	OR OR	<b>†</b>	06/18/2007		, 829 ( ', 836 (	,0	ļō	0	0	0	3,829 7,836	3,829 7,836	0	0	} <u>0</u>
A5062904	PORTLAND	OROR	t	10/14/2005		,836( 1,727(	,  0	0	0	0	0			U	0	0 n
A7051802	PORTLAND	OR	t	07/14/2008		2,479	)	n	n	n	n	2,479	2,479	n	n	n
A9031602	PORTLAND	OR	1	06/01/2009		,970	0	0	0	0	0	1,970	1,970	0	0	0
B0063002	TROUTDALE	OR		10/07/2010		,742	0	0	0	0	0	1,742	1,742	0	0	0
A8042501	BETHLEHEM	PA		09/11/2008		,207	00	0	0	00	0	6,207	6,207	0	0	0
A7030112	BRODHEADSVILLE	PA		06/18/2007		(	00	0	0	00	0	2,513	2,513	0	0	0
A5080202	SHARON HILL	PA		10/14/2005		, 199(	00	0	0	00	0	4 , 199	4 , 199	0	0	0
A4110902	UPPER PROVIDENCE TOWNSHIP	PA		04/21/2005		,553	0  0	0	0	00	0	6,553	6,553	0	0	0
A7031301	WEST CHESTER	PA	<b>-</b>	06/18/2007			0	0	0	0	0	2,880 .	2,880	0	0	0
A4112904 A7091601	SMITHFIELD	KI	<b>+</b>	03/16/2005		772 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.689 (1.68	J0	0	0	0	0	4,772 2,689	4,772 2,689	0	0	0
A9042403	CHARLESTON	SC	<b>+</b>	09/10/2009		2,027	J0	0	0		0	2,027	2,089	0	0	0
A2060601	GREER	SC	<b>†</b>	10/01/2002		,888	)o	0	0	0	0	1,888	1,888	0	0	0
A7091612	MT PLEASANT	SC	T	12/14/2007		,238	0	0	0	0	0	5,238	5,238	0	0	0
A6051502	COLUMBIA	TN	I	09/18/2006		,483	0	0	0	0	0	3,483	3,483	0	0	0
A7111503	KNOXVILLE	TN	<u></u>	02/14/2008		,4540	00	0	0	00	0	5,454	5,454	0	0	0
A7082405	ROCKFORD	TNTN	<b></b>	12/14/2007		,326(	00	0	0	00	0	1,326	1,326	0	0	0
A9080501	ARLINGTON	TX		10/06/2009		359	0  0	0	0	00	0	359 .	359	0	0	0
B0052102	AUSTIN	TXTX	<b>+</b>	08/10/2010		,974(	0	0	0	0	0	1,974	1,974	0	0	0
A2073101	AUSTIN	TV		11/12/2002		,025 2.057	J0		0	0	0	1,025 2.057	1,025 2.057	0	0	0
A8110504	CLEVELAND	тұ	<b>†</b>	03/12/2009		.439	1	0		0	0	3,439	3.439	0	0	n
A9042803	CONROE	TX	T	09/10/2009		902	0	0	0	0	0	902	902	0	0	0
A6103101	CORPUS CHRISTI	TX	I	01/16/2007		906	0		0	0	0	2,906	2,906	0	0	0
B0043001	DESOTO	ТХ	<b>_</b>	07/08/2010		., 133	0	0	0	0	0	2, 133	2, 133	0	0	0
A6030905	FORT WORTH	TX	<b></b>	06/01/2006		2,207	00	0	0	00	0	2,207	2,207	0	0	0
A5102602	HOUSTON	TX	<b>+</b>	02/17/2006		,906	00	0	0	0	0	11,906 .	11,906	0	0	0
A6021303	HOUSTON	TX	<b>†</b>	06/01/2006		,796	0	ļō	ļ0	0	ļ0	1,796	1,796	ļ0	0	ļō
A6090801A7020601	HOUSTON	TXTX	<b>†</b>	12/11/2006		6,554 1,493	,  0	h0	0	0	0	6,554 2,493	6,554 2,493	0	0	}0
A8033104	HOUSTON	ту	<b>†</b>	07/14/2007	<u> </u>	, 493	)o	n	0 n	,0 n	0	1,696	1,696	n	n	n
A9031307	HOUSTON	ТХ	1	07/01/2009		,640	0	0	0	0	0	2,640	2,640	n	0	0
B1031501	HOUSTON	TX	I	06/09/2011		.,614	0	0	0	0	0	2,614	2,614	0	0	0
A9050101	PASADENA	тх	<u> </u>	08/17/2009		,235	0	0	0	0	0	1,235	1,235	0	0	0
B0032510	PFLUGERVILLE	TX	<b></b>	07/08/2010	<b> </b>	,249(	00	0	0	00	0	1,249	1,249	0	0	0
B0080607	SAN ANTONIO	TX	<b></b>	11/09/2010		,335	00	0	0	0	0	4,335	4,335	0	0	0
B2042402	SAN ANTONIO	TX	<del> </del>	08/09/2012	<del> </del>	0	0	} <u>0</u>	ļ0	0	ļ0	795	795	<u>0</u>	0	ļ0
A8012309	SAN ANTONIO	TX	<del> </del>	04/14/2008		,404	, }0	ō	0	0	0	1,404	1,404	0	0	}0
B0061005	SAN MARCOS	TXTX	t	02/20/2007 09/07/2010		,589( ,631(	,0	1	0	را الم	0	4,589 1,631	4,589 1,631	0 n	0	0
A7083005	SULPHUR SPRINGS	ТХ	†	12/14/2007		,069		n	U	,0 n	n	4,069	4,069	n	n	n
A6030904	WHITE SETTLEMENT	TX	1	06/01/2006		,802	0	0	0	0	0	5,802	5,802	0	0	0
A8081905	LOGAN	UT	I	11/13/2008		,064	0	0	0	0	0	3,064	3,064	0	0	0
A2090602	RIVERTON	UT	<b></b>	11/12/2002		,089	0	0	0	0	0	1,089	1,089	0	0	0
A7071903	SALT LAKE CITY	UT	<b>+</b>	10/16/2007		,7050	00	0	0	0	0	1,705	1,705	0	0	0
A6071902	SALT LAKE CITY	<u>VT</u>	<b>{</b>	11/15/2006			00	ļ0	0	ļ0	0	2,893	2,893	0	0	ļ0
A7072607	SANDY	UT	<b>+</b>	02/14/2008		, 140(	0	ļ0	0	<u> </u>	0	4,140	4,140	0	0	0
A8082901	TAYLORSVILLE	UT	1	11/13/2008	I '	.685			. 0	0	. 0	1.685	1.685	. 0	. 0	ι 0

#### **SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location			5	6	7		Change	estment	14	15	16	17	18			
	2	3				Book Value/	8	9	10	11	12	13	Book Value/				
						Recorded			Current				Recorded				
						Investment			Year's Other		Total		Investment		Foreign		
						Excluding	Unrealized	Current	Than	Capitalized		Total Foreign			Exchange	Realized	Total
						Accrued	Valuation	Year's	Temporary	Deferred	in	Exchange	Accrued		Gain	Gain	Gain
			Loan	Date	Disposal	Interest	Increase	(Amortization)	Impairment	Interest and	Book Value	Change in	Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Loan Number	Citv	State	Type	Acquired	Date	Prior Year	(Decrease)		Recognized	Other	(8+9-10+11)		Disposal	eration	Disposal	Disposal	Disposal
	WEST VALLEY CITY	State	туре	08/03/2009	Date		(Decrease)	Accretion	Recognized	Other	(6+9-10+11)	Book value			Disposai	Disposai	Disposai
A9052101	CHESAPEAKE	UI		08/03/2009		3,084 4,995		0		0	0	0	3,084 4,995	3,084	0	0	0
	FAIRFAX CITY	VAVA		05/10/2012			 0	0	٥	0	0	0	1, 269	1 269	 0	0	
	MIDLOTHIAN	VA		08/10/2010		1 /11	٥		٥	0			1 411	1 /11	٥		
	RICHMOND	VA		06/09/2011		2.083	 0	0		0	0	0	2.083	2.083		0	0
	WILLIAMSBURG	VA		06/01/2001		293	0	0	0	0	0	0	293	293	0	0	0
B0120811	EDMONDS	WA		04/11/2011		1.354	0	0	0	0	0	0	1.354	1.354	0	0	0
B1082501	EVERETT	WA		01/25/2012		0	0	0	0	0	0	0	2,825	2,825	0	0	0
A9050801	EVERETT	WA		08/17/2009		5,685	0	0	0	0	0	0	5,685	5,685	0	0	0
A8052801	MUKILTEO	WA		09/11/2008		3,577	0	0	0	0	0	0	3,577	3,577	0	0	0
A8011103	RICHLAND	WA		06/12/2008		4,505	0	0	0	0	0	0	4,505	4,505	0	0	0
	SEATTLE	WA		03/14/2008		3, 176	0	0	0	0	0	0	3,176	3, 176	0	0	0
	SEATTLE	WA		10/16/2006		3,286	0	0	0	0	0	0	3,286	3,286	0	0	0
20001100	SEATTLE	LWA	<b> </b>	09/07/2010		1,833	0	0	0	0	0	ļ0	1,833	1,833	0	0	0
	SHORELINE			09/11/2008		2, 187	0	0	0	0	0	0	2, 187	2, 187	0	0	0
A9042802	TUKWILA	LWA	<del> </del>	08/17/2009		1,903	0	0	0	0	ļ0	ļ0	1,903	1,903	0	0	ļ0 !
		wA		06/18/2007		2,628	0	0	0	0	0	0	2,628	2,628	0	0	0
0299999. Mortgages with	n partial repayments					796, 173	0	(129)	0	0	(129)		850,333	850,333	0	0	0
0599999 - Totals						2,035,825	0	(129)	0	0	(129)	0	2,089,985	2,089,985	0	0	0

## Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired $N\ O\ N\ E$

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  $N\ O\ N\ E$ 

#### Е

#### STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

#### **SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

			Chow 7 th Long 1	enii bonda and Stock Acquired buning the Current Quan		,			
1	2	3	4	5	6	7	8	9	10
	l l								NAIC Desig-
	į								nation or
	l l				Number of			Paid for Accrued	Market
CUSIP	į		Date		Shares of			Interest and	Indicator
Identification	Description	Foreign	Acquired	Name of Vendor	Stock	Actual Cost	Par Value	Dividends	(a)
	PPG INDUSTRIES INC, M/W SR UNSECURED	roroigir		SACHS & COMPANY	Glock	1.006.040	1,000,000		2FE
	ADT CORP, 144A M/W SR UNSECURED	R		FITZGERALD		1.780.643	1.750.000	2,406	
	otal - Bonds - Industrial and Miscellaneous (Unaffiliated)					2,786,683	2,750,000	2,406	
	- Bonds - Part 3					2,786,683	2,750,000	2,406	XXX
8399998. Total	- Bonds - Part 5					XXX	XXX	XXX	XXX
8399999. Total - Bonds							2,750,000	2,406	XXX
8999997. Total - Preferred Stocks - Part 3							XXX	0	XXX
8999998. Total	- Preferred Stocks - Part 5	XXX	XXX	XXX	XXX				
8999999. Total	- Preferred Stocks					0	XXX	0	XXX
9799997. Total	- Common Stocks - Part 3					0	XXX	0	XXX
9799998. Total	- Common Stocks - Part 5					XXX	XXX	XXX	XXX
9799999. Total	- Common Stocks					0	XXX	0	XXX
9899999. Total	- Preferred and Common Stocks					0	XXX	0	XXX
									1
9999999 - Tota	als					2,786,683	XXX	2,406	XXX

<sup>(</sup>a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

#### **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

	•				OHOW 7 til EO	ing reinir be	mus and Stoc	ik Cola, rtca	cernea or c												
1	2	3	4	5	6	7	8	9	10	Ch	nange In Boo	ok/Adjusted	Carrying Val	ue	16	17	18	19	20	21	22
										11	12	13	14	15							
													Total	Total							NAIC
												Current	Change in	Foreign							Desig-
												Year's	Book/	Exchange	Book/				Bond		nation
									Prior Year		Current	Other Than	Adjusted	Change in	Adjusted	Foreign			Interest/	Stated	or
									Book/	Unrealized	Year's	Temporary	Carrying	Book	Carrying	Exchange	Realized		Stock	Con-	Market
CUSIP					Number of				Adjusted	Valuation	(Amor-	Impairment	Value	/Adjusted	Value at	Gain	Gain	Total Gain	Dividends	tractual	In-
Ident-		For-	Disposal	Name	Shares of	Consid-		Actual	Carrying	Increase/	tization)/	Recog-	(11 + 12 -	Carrying	Disposal	(Loss) on	(Loss) on	(Loss) on	Received	Maturity	dicator
ification	Description	eign	Date	of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	Accretion	nized	13)	Value	Date	Disposal	Disposal	Disposal	DuringYear	Date	(a)
278058-AX-0	EATON CORP, NOTE		07/15/2012 .			425,000	425,000	428,052	425,311	0	(311)	0	(311)	0	425,000	0	0	0	24,438	07/15/2012 .	1FE
				CALLED BY ISSUER at																	
	GREAT RIVER ENERGY 144A IST MTGE		07/02/2012 .			20,000	20,000	20,000	20,000	0	0	0	0	0	20,000		0	0	896	07/01/2030 .	
59018Y-J3-6	MERRILL LYNCH & CO, SR UNSEC		08/15/2012 . .08/31/2012			885,000 900,000	885,000 900,000	883,761 . 899.946	884,825 899.992	0	1/5	0	175	0			0	0	53,543 51,750		
	Subtotal - Bonds - Industrial and Misce	llanor				2.230.000	2.230.000	2.231.759	2.230.128		(128)	0	(128)		2.230.000		0		130.627	XXX	XXX
	Subtotal - Bonds - Industrial and Misce Total - Bonds - Part 4	elialie	ous (Oriailii	ialeu)		2,230,000	2,230,000	2,231,759	2,230,128	0	(128)	0	(128)	0	2,230,000		0	0	130,627	XXX	XXX
						2,230,000 XXX	2,230,000 XXX	XXX XXX	XXX XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	Total - Bonds - Part 5									^^^	1	^^^		***		***	^^^	^^^			
	Total - Bonds					2,230,000	2,230,000	2,231,759	2,230,128	0	(128)	0	(128)	0	2,230,000	0	0	0	130,627	XXX	XXX
	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998.	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999.	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999.	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
																					[
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9999999 -	Totals	1	<b> </b>		<del> </del>	2.230.000	XXX	2.231.759	2.230.128	n	(128)	n	(128)	n	2.230.000	n	n	n	130.627	XXX	XXX
3000000						2,200,000	,,,,	2,201,700	2,200,120		(120)	U	(120)	U	2,200,000			U	100,021	////	////

## Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open  $\overline{N}$   $\overline{O}$   $\overline{N}$   $\overline{E}$ 

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  $N\ O\ N\ E$ 

Schedule DB - Part D - Counterparty Exposure for Derivative Instruments Open NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  $\overline{N}$   $\overline{O}$   $\overline{N}$   $\overline{E}$ 

Schedule DL - Part 2 - Reinvested Collateral Assets Owned NONE

#### **SCHEDULE E - PART 1 - CASH**

Month	Fnd	Depository	Ralances
IVIOLILI	LIIU		Dalalices

1		3	4	5	Book Balance at End of Each Month During Current Quarter					
			Amount of	Amount of	6	7	8	1		
			Interest Received							
Depository	Code	Interest	During Current Quarter	at Current Statement Date	First Month	Second Month	Third Month	*		
JP Morgan Chase New York, NY	0000	0.000	0	0		(1,936,632)		xxx		
US Bank Portland, OR		0.000	0	0	4,954,667	2,420,463	4, 121,970	XXX		
Wells Fargo Bank Portland, OR		0.000	0	0	2,460,234	1,823,902	2,259,661	XXX		
0199998. Deposits in 2 depositories that do not exceed the allowable limit in any one depository (See										
instructions) - Open Depositories	XXX	XXX	0	0	37,265	113,464	108,228	XXX		
0199999. Totals - Open Depositories	XXX	XXX	0	0	5,059,512		4,570,791	XXX		
0299998. Deposits in 0 depositories that do not exceed the allowable limit in any one depository (See										
instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX		
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0			
0399999. Total Cash on Deposit	XXX	XXX	0	0	5,059,512	2,421,197	4,570,791	XXX		
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX		
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0599999. Total - Cash	XXX	XXX	0	0	5,059,512	2,421,197	4,570,791	XXX		